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(54) Title: NOVEL HUMAN CYTOMEGALOVIRUS DNA CONSTRUCTS AND USES THEREFOR			
(57) Abstract  Novel DNA molecules for <i>in vitro</i> and <i>in vivo</i> expression of HCMV gB, gB transmembrane-deleted derivatives, pp65, pp150, and IE-exon-4 proteins are described. Preferably, the molecules are plasmids. Also described are methods of using these DNA molecules to induce immune responses to HCMV, and the use of a plasmid of the invention to prime immune responses to HCMV vaccines.			

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NOVEL HUMAN CYTOMEGALOVIRUS DNA CONSTRUCTS  
AND USES THEREFOR

Field of the Invention

This invention relates generally to compositions  
5 useful in preventing and treating human cytomegalovirus  
infection.

Background of the Invention

Cytomegalovirus (CMV) is one of a group of highly  
host specific herpes viruses that produce unique large  
10 cells bearing intranuclear inclusions. The envelope of  
the human cytomegalovirus (HCMV) is characterized by a  
major glycoprotein complex termed gB or gCI, which was  
previously referred to as gA.

Infection with HCMV is common and usually  
15 asymptomatic. However, the incidence and spectrum of  
disease in newborns and immunocompromised hosts  
establishes this virus as an important human pathogen.  
HCMV has also been suggested to be an important co-factor  
in the development of atherosclerosis and restenosis  
20 after angioplastic surgery.

Several HCMV vaccines have been developed or are in  
the process of development. Vaccines based on live  
attenuated strains of HCMV have been described. [See,  
e.g., S. A. Plotkin et al, Lancet, 1:528-30 (1984); S. A.  
25 Plotkin et al, J. Infect. Dis., 134:470-75 (1976); S. A.  
Plotkin et al, "Prevention of Cytomegalovirus Disease by  
Towne Strain Live Attenuated Vaccine", in Birth Defects,  
Original Article Series, 20(1):271-287 (1984); J. P.  
30 Glazer et al, Ann. Intern. Med., 91:676-83 (1979); and U.  
S. Patent 3,959,466.] A proposed HCMV vaccine using a  
recombinant vaccinia virus expressing HCMV glycoprotein B  
has also been described. [See, e.g., Cranage, M. P. et  
al, EMBO J., 5:3057-3063 (1986).] However, vaccinia  
vaccines are considered possible causes of encephalitis.  
35 Other recombinant HCMV vaccines have been described.

See, e.g., G. S. Marshall et al, J. Infect. Dis., 162:1177-1181 (1990); K. Berencsi et al, J. Gen. Virol., 74:2507-2512 (1993), which describe adenovirus-HCMV recombinants.

5 There remains a need in the art for additional compositions useful in preventing CMV infection by enhancing immune responses to HCMV vaccines and generating neutralizing antibody and/or cellular responses to CMV in the human immune system.

10 Summary of the Invention

The present invention provides a series of DNA molecules expressing human cytomegalovirus (HCMV) genome fragments, which are particularly useful in inducing HCMV-specific immune responses.

15 Thus, in one aspect, the invention provides a DNA molecule which is non-replicating in mammals and which comprises at least one human cytomegalovirus antigen which is operably linked to regulatory sequences which express the antigen in the mammal. Advantageously, the 20 antigen elicits an immune response in said mammal. In one preferred embodiment, the DNA molecule is a plasmid.

In another aspect, the invention provides a plasmid, pTet-gB, containing the portion of the HCMV genome (UL55) 25 encoding gB. This plasmid further contains a tetracycline regulatable HCMV-immediate early promoter, which is useful in controlling expression of gB. Another plasmid of the invention encoding the full-length gB subunit protein is a pARC-gB plasmid.

Yet another plasmid of the invention, pARC-gB<sub>680</sub>, 30 contains the portion of the HCMV genome encoding the N-terminal 680 amino acids of the gB protein (gB<sub>1-680</sub>).

The pARC-pp65 plasmid of the invention contains the portion of the HCMV genome (UL83) encoding the HCMV pp65 tegument protein. The pARC-pp150 plasmid contains the

portion of the HCMV genome (UL32) encoding the HCMV pp150 tegument protein.

5 The pARC-exon-4 contains the portion of the HCMV genome (truncated UL123) encoding HCMV immediate-early (IE) exon-4.

In yet another aspect, the present invention provides an immunogenic composition of the invention comprising at least one of the DNA molecules of the invention and a carrier.

10 In still another aspect, the present invention provides a method of inducing HCMV-specific immune responses in an animal by administering to the animal an effective amount of an immunogenic composition of the invention. Preferably, this composition contains pARC-gB<sub>680</sub>, pTet-gB and/or pARC-pp65.

15 In yet a further aspect, the present invention provides a method of priming immune responses to a selected human cytomegalovirus immunogenic composition by administering an immunogenic composition of the invention 20 prior to administration of the second immunogenic or vaccine composition.

Other aspects and advantages of the present invention are described further in the following detailed description of the preferred embodiments thereof.

25 Brief Description of the Drawings

Fig. 1 illustrates the construction of the pTet-gB plasmid.

30 Fig. 2 is a graph illustrating the results of pp65-specific CTL responses in BALB/c mice immunized with pARC-pp65. The circle represents VacWR-pp65-infected MC57 (MHC-mismatched) target cells; the diamond represents WT-Vac-infected P-815 cells; and the square represents VacWR-pp65-infected P-815 (MHC-mismatched) target cells.

Fig. 3A-3E provides the full-length DNA and amino acid sequences [SEQ ID NO:1 and 2] of a human cytomegalovirus virus gB gene.

5 Fig. 4A - B provide the full-length DNA and amino acid sequences [SEQ ID NO:3 and 4] of a human cytomegalovirus immediate-early exon-4.

10 Fig. 5 provides the full-length DNA and amino acid sequences of a human cytomegalovirus phosphoprotein (pp) 65 gene Towne strain on the top line [SEQ ID NO: 5 and 6], and, on the bottom line, the sequence of the pp65-AD169 strain where it differs from the Towne strain [SEQ 15 ID NO: 7 and 8].

15 Fig. 6A - B provide the full-length DNA and amino acid sequences [SEQ ID NO: 9 and 10] of a human cytomegalovirus phosphoprotein (pp) 150 gene, AD169 strain.

Fig. 7A provides a circular map of the eukaryotic expression vector pCB11.

Fig. 7B provides a circular map of pCBgB.

20 Fig. 7C provides a circular map of pCBgB $\Delta$ tm.

Fig. 8 provides a schematic representation of the gB protein (top line) and of its homolog which is deleted of the transmembrane domain (bottom line).

25 Fig. 9 is a graph illustrating the anti-gB titers in sera of BALB/c mice immunized with plasmids pCBgB and pCBgB $\Delta$ tm intramuscularly (IM) and intradermally (ID).

#### Detailed Description of the Invention

The present invention provides DNA molecules useful for *in vitro* and *in vivo* expression of antigenic 30 fragments of the HCMV genome. Particularly desirable antigens include full-length and transmembrane-deleted fragments of gB such as gB<sub>1-680</sub>, pp65, pp150, and IE-exon-4. Preferably, the DNA molecules of the invention are plasmids. The inventors have found that these DNA

molecules induce HCMV-specific immune responses, including ELISA and neutralizing antibodies and cytotoxic T lymphocytes (CTL), and are further useful in priming immune responses to subsequently administered HCMV immunogens and vaccines.

5 Thus, in one embodiment, the present invention provides a DNA molecule containing at least one HCMV antigen under the control of regulatory sequences which express the antigen in vivo or in vitro. Desirably, the 10 DNA molecule is incapable of replicating in mammals. In a particularly desirable aspect of this embodiment, the DNA molecule is a plasmid.

15 As defined herein, an HCMV antigen includes a portion of the HCMV genome or a protein or peptide encoded thereby which induces an immune response in a mammal. Desirably, the immune response induced is HCMV-specific and protective. However, non-protective immune responses are also useful according to the invention, e.g., for priming immune responses. Currently, preferred 20 HCMV antigens include full-length gB, a fragment or derivative of gB which lacks at least the transmembrane domain, pp65, pp150, and the immediate-early exon-4. Other suitable antigens may be readily selected by one of skill in the art.

25 The exemplary DNA molecules of invention, described herein, have been constructed using gene fragments derived from the Towne strain of HCMV. The Towne strain of HCMV, is particularly desirable because it is attenuated and has a broad antigenic spectrum. This 30 strain is described in J. Virol., 11 (6): 991 (1973) and is available from the ATCC under accession number VR-977. The Ad169 strain is also available from the ATCC, under accession number VR-538. However, other strains of CMV useful in the practice of this invention may be obtained

from depositories like the ATCC or from other institutes or universities, or from commercial sources.

Thus, the CMV gene fragment encoding the desired protein (e.g., gB, pp65, pp150) or protein fragment (e.g., gB<sub>1-680</sub> or IE-exon-4) may be isolated from known HCMV strains. See, e.g., Mach et al, J. Gen. Virol., 67:1461-1467 (1986); Cranage, M. P. et al, EMBO J., 5:3057-3063 (1986); and Spaete et al, Virol., 167:207-225 (1987), which provide isolation techniques. For example, using a known HCMV sequence, the desired HCMV gene or gene fragment [e.g., pp65 (UL83)] is PCR amplified, isolated, and inserted into the plasmid vector or other DNA molecule of the invention using known techniques. Alternatively, the desired CMV sequences can be chemically synthesized by conventional methods known to one of skill in the art, purchased from commercial sources, or derived from CMV strains isolated using known techniques.

If desired, the DNA molecules of the invention may contain multiple copies of the HCMV gene or gene fragment. Alternatively, the recombinant plasmid may contain more than one HCMV gene/gene fragment, so that the plasmid may express two or more HCMV proteins. For example, as shown herein, the presence of both gB- and pp65-specific ELISA antibodies and pp65-specific CTL in the mice inoculated with pTet-gB and pΔRC-pp65 in a mixture indicates that gB and pp65 do not mutually block antigen presentation or B and T cell stimulation when expressed in the same cells or in close proximity. Thus, gB (or gB<sub>680</sub>) and pp65 proteins are particularly well suited for incorporation into a plasmid which expressed both protein (termed herein a chimeric vector). Thus, one particularly desirable embodiment of the present invention provides a DNA molecule containing the gB and the pp65 antigens. In another particularly desirable

embodiment, the DNA molecule contains a transmembrane-deleted gB fragment or derivative (e.g., gB<sub>680</sub> or gBΔtm) and the pp65 antigens.

In the construction of the DNA molecules of the invention, one of skill in the art can readily select appropriate regulatory sequences, enhancers, suitable promoters, secretory signal sequences and the like. In the examples below, the plasmids have been provided with a tetracycline repressor from *E. coli*. However, if desired, the plasmid or other DNA molecule may be engineered to contain another regulatable promoter, which "turns on" expression upon administration of an appropriate agent (e.g., tetracycline), permitting regulation of *in vivo* expression of the HCMV gene product. Such agents are well known to those of skill in the art. The techniques employed to insert the HCMV gene into the DNA molecule and make other alterations, e.g., to insert linker sequences and the like, are known to one of skill in the art. See, e.g., Sambrook et al, "Molecular Cloning. A Laboratory Manual" (2d edition), Cold Spring Harbor Laboratory, Cold Spring Harbor, NY (1989).

In one embodiment, the DNA molecules of the invention are plasmids. One exemplary plasmid is pTet-gB. Construction of this plasmid is described in more detail below. Plasmid TetotTA-gB contains the gene from HCMV (the unique long (UL) 55) encoding the full-length gB subunit protein and a tetracycline regulatable HCMV-immediate early promoter which controls expression of gB. For convenience, the sequences of the HCMV gene fragment encoding the full-length gB protein which were used in the examples below are provided in Fig. 3A-3E [SEQ ID NO: 1 and 2]. As discussed herein, this invention is not limited to this strain of HCMV. pTet-gB has been found to be useful alone, and in conjunction with the other DNA

5 molecules of the invention, and particularly the pARC-  
pp65 plasmid described below. pTet-gB is also  
particularly useful for priming immune responses to  
subsequently administered HCMV immunogenic compositions  
and vaccines.

10 The pTetotTA-gB plasmid has been deposited pursuant  
to the Budapest Treaty, in the American Type Culture  
Collection (ATCC), 12301 Parklawn Drive, Rockville,  
Maryland, U.S.A. This deposit, designated ATCC 98029,  
was made on April 23, 1996 and is termed herein, pTet-gB.

15 Other plasmids provided herein, pARC-gB and pCBgB,  
also contain the HCMV gene encoding the gB protein. As  
demonstrated below, these DNA plasmids have been found to  
be highly potent immunogens for HCMV. See Examples 8 and  
14.

20 Another plasmid of the invention, pARC-gB<sub>680</sub>  
contains the portion of the HCMV gene encoding the N-  
terminal 680 amino acids of the gB protein and is capable  
of expressing this fragment *in vivo* or *in vitro*. This gB  
fragment is designated herein gB<sub>1-680</sub>. As illustrated in  
Figure 3A-E [SEQ ID NO:2], the full-length gB subunit  
protein consists of 907 amino acids. This plasmid, which  
expresses a secreted form of gB, has been found to be a  
more potent immunogen than the plasmids expressing the  
25 full-length gB.

30 Also provided herein is plasmid pCDgBAtm, which  
contains a deletion of the gB transmembrane region. This  
plasmid has been found to induce HCMV-specific  
neutralizing antibodies (see Example 14) and to be a more  
potent immunogen than the corresponding DNA plasmid  
35 encoding full-length gB.

Plasmid pARC-exon-4 plasmid contains the portion of  
the HCMV immediate-early (IE) gene encoding HCMV IE-exon-  
4 and is capable of expressing the gene product. The  
35 HCMV IE-exon-4 gene fragment has been described in

international patent application PCT/US94/02107, published August 18, 1994, which is incorporated by reference herein. The IE gene and the intron/exon junctions for Towne strain HCMV are provided in Stenberg et al, J. Virol., 49:190-199 (1984), and are available from GenBank under accession number K01484, M11828-30. The sequences of the IE-exon-4 gene fragment, Towne strain, are provided in Fig. 4A - B [SEQ ID NO: 3 and 4], for convenience. This invention is not limited to the use of the IE-exon-4 sequences from this viral strain.

Plasmid pARC-pp65 contains the HCMV gene encoding the HCMV phosphoprotein (pp) 65 tegument protein and is capable of expressing pp65 in vivo or in vitro. As described herein, immunization with pARC-pp65 induced a reduction of virus titers in the mouse lung after intranasal challenge with vaccinia recombinants carrying the pp65 gene, suggesting the protective function of cell-mediated immunity in lung after DNA immunization. Further, in contrast to a prior art pp65-containing plasmid construct which induced ELISA antibodies in only about 60% of inoculation mice, nearly 100% of mice inoculated with pARC-pp65 responded with pp65-specific ELISA antibodies. The sequences of the pp65 gene, Towne and AD169 strains, have been described in H. Pande et al, Virol., 181(1):220-228 (1991) and are provided in Fig. 5 [SEQ ID NO: 5 - 8] for convenience. pp65 sequences may be readily isolated using known techniques from other HCMV strains, or obtained from commercial sources. The strain from which the pp65 sequences are derived is not a limitation on the present invention.

Plasmid pARC-pp150 contains the portion of the HCMV gene encoding the HCMV pp150 tegument protein and is capable of expressing pp150 in vivo or in vitro. The sequences of the pp150 gene, Ad169 strain, have been described in G. Jahn et al, J. Virol., 61(5):1358-1367

(1987) and are provided in Fig. 6A - B for convenience [SEQ ID NO: 9 and 10]. pp150 sequences may be readily isolated using known techniques from another HCMV strain, or obtained from commercial sources. The strain from 5 which the pp150 sequences are derived is not a limitation on the present invention.

The DNA molecules, and particularly the plasmids described herein, may be used for expression of the gB, gB<sub>1-680</sub> fragment, pp65, pp150, or IE-exon-4 *in vitro*. The 10 molecules are introduced by conventional means into the desired host cell [see, Sambrook et al, cited above]. Suitable host cells include, without limitation, bacterial cells, mammalian cells and cell lines, e.g., A549 (human lung carcinoma) or 293 (transformed human 15 embryonic kidney) cells.

The host cell, once transfected with the recombinant plasmid (or other DNA molecule) of the present invention, is then cultured in a suitable medium, such as Minimal Essential Medium (MEM) for mammalian cells. The culture 20 conditions are conventional for the host cell and allow the expressed HCMV protein, e.g., gB, to be produced either intracellularly, or secreted extracellularly into the medium. Conventional protein isolation techniques are employed to isolate the expressed subunit from the 25 selected host cell or medium.

Alternatively, transfected host cells are themselves used as antigens, e.g., in *in vitro* immunological assays, such as enzyme-linked immunosorbent assays (ELISA). Such assay techniques are well known to those of skill in the 30 art.

In yet another embodiment, one or more of the DNA molecules (e.g., plasmids) described herein may be used directly as immunogens in an immunogenic composition or directly for priming the immune response to a 35 subsequently administered immunogenic or vaccine

composition. According to this embodiment of the invention, the DNA molecule (e.g., plasmid) containing the HCMV gene or gene fragment is introduced directly (i.e., as "naked DNA") into the animal by injection. The 5 DNA molecule of the invention, when introduced into an animal, transfects the host's cells and produces the CMV protein in those cells. Methods of administering so-called 'naked DNA', are known to those of skill in the art. [See. e.g., J. Cohen, Science, 259:1691-1692 (March 10, 1993); E. Fynan et al, Proc. Natl. Acad. Sci., 90:11478-11482 (Dec. 1993); J. A. Wolff et al, Biotechniques, 11:474-485 (1991); International Patent Application PCT WO94/01139, which are incorporated by reference herein for purposes of described various 'naked 15 DNA' delivery methods.]

The preparation of a pharmaceutically acceptable immunogenic composition, having appropriate pH, isotonicity, stability and other conventional characteristics is within the skill of the art. 20 Currently, in a preferred embodiment, one or more of the recombinant plasmids (or other DNA molecules) of the invention is suspended in an acceptable carrier such as isotonic water, phosphate buffered saline, or the like. Optionally, although currently less preferred, such a 25 composition may contain other components, such as adjuvants, e.g., aqueous suspensions magnesium hydroxides.

An effective amount of an immunogenic composition of the invention preferably contains between 10  $\mu$ g and 10 30 mg, and preferably between about 80  $\mu$ g and 150  $\mu$ g of DNA of the invention per inoculation. Desirably, for each inoculation, the DNA of the invention is formulated in about 100  $\mu$ l of a suitable carrier. In a particularly preferred embodiment, each patient is administered 100  $\mu$ g 35 DNA, which is administered three times at about 4 week

intervals. Alternatively, the dosage regimen involved in the method for immunizing with the recombinant DNA molecule (e.g., plasmid) of the present invention can be determined considering various clinical and environmental factors known to affect vaccine administration. For example, following a first administration of an immunogenic composition of the invention, boosters may be administered approximately 2- to 15-weeks later. These boosters may involve an administration of the same immunogenic composition as was first administered, or may involve administration of an effective amount of another immunogenic composition of the invention. Additional doses of the vaccines of this invention may also be administered where considered desirable by the physician.

In another aspect, the present invention provides a method of inducing HCMV-specific immune responses in an animal. The method involves administering to an animal an effective amount of an immunogenic composition containing one or more of the DNA molecules of the invention, as described above. The immunogenic composition is administered by any suitable route, including oral, nasal routes, subcutaneous and intraperitoneal. However, currently preferred are the intramuscular and intradermal routes of administration.

In a particularly preferred embodiment of this aspect, the method of inducing an HCMV-specific immune response of the invention involves the administration of one or more immunogenic compositions of the invention. These compositions may be formulated so as to contain a single DNA molecule of the invention, or may contain mixtures of the DNA molecules of the invention. In one desirable embodiment, the composition contains pARc-gB<sub>680</sub> or pCBgBAtm. In another desirable embodiment, the composition contains a plasmid containing pp65 according to the invention. As illustrated in the examples below,

administration of pΔRC-pp65 has been found to induce a potent HCMV-specific immune response. In another desirable embodiment of the invention, the combined administration of pTet-gB and pΔRC-pp65 invention (which 5 may be formulated in a single composition, or preferably, administered separately) induces potent HCMV-specific ELISA and neutralizing antibodies to both proteins. In yet another desirable embodiment, the present invention provides a composition containing a chimeric plasmid 10 which expresses pp65 and gB<sub>680</sub> or gB. Yet another desired embodiment involves combined administration of pΔRC-gB<sub>680</sub> and pΔRC-pp65.

In another aspect of this invention, a method of priming immune responses to a human cytomegalovirus 15 immunogenic or vaccinal composition is provided. This method involves administering an immunogenic composition of the invention prior to administration of a second immunogenic or vaccinal composition. Desirably, an effective amount of an immunogenic composition of the 20 invention, e.g., containing pTet-gB, is administered between about 4 and 15 weeks prior to administration of the immunogenic or vaccinal composition. The second immunogenic or vaccinal composition, for which the immune 25 response is enhanced or primed by the method of the invention, may be an immunogenic composition of the invention or a conventional immunogenic or vaccine composition. For example, such a composition may contain 30 one or more HCMV proteins (e.g., the isolated, purified gB protein described in the examples below), a whole virus (e.g., semipurified Towne strain HCMV virion), or recombinant HCMV viruses. Suitable recombinant viruses are well known to those of skill in the art and include, 35 e.g., the Ad-gB virus [G. Marshall et al, (1990), cited above, and EP 389 286; the Ad-gB-IE-exon-4 virus [WO 94/17810]; the Ad-gB fragment viruses [WO 94/23744].

Other suitable HCMV vaccinal compositions are well known to those of skill in the art.

These examples illustrate the preferred methods for preparing and using the plasmids of the invention. These 5 examples are illustrative only and do not limit the scope of the invention.

Example 1 - Construction of pTet-gB plasmid

The full-length HCMV-gB gene was obtained from the plasmid pAd-gB [Marshall et al., J. Infect. Dis., 10 162:1177-1181 (1990)] by XbaI-XbaI-digestion.

The full length HCMV-gB was inserted into the plasmid pUHD10-3 [Gossen and Bujard, Proc. Natl. Acad. Sci. USA, 12:5547-5551 (1992)]. This plasmid contains:

- (a) a tetracycline regulatable promoter (HCMV minimal promoter, - 53 relative to the start site, with heptamerized tet-operon derived from the regulatory region of  $tet^R$  - gene of transposon -10);
- (b) a multiple cloning site (including an XbaI site); and
- 20 (c) an SV40 polyadenylation signal downstream of the polycloning site.

After inserting the HCMV-gB (referred to as pTetO-gB), the plasmid was digested with Hind III followed by blunt-ending, then digested 25 with Pvul and the fragment containing the tetracycline regulatable promoter-HCMV-gB-SV40 polyA signal sequences was isolated and inserted into the plasmid pUHD15-1 [Gossen and Bujard, cited above]. This latter plasmid (hereafter referred to as ptTA) contains the HCMV-IE promoter-enhancer which constitutively drives the tTA gene followed by the SV40 polyA signal. The tTA-gene codes 30 for a fusion protein consisting of the tetracycline repressor from *E. coli* and the carboxy-terminal 130 amino acids of the herpes simplex virus protein 16 gene (HSV

VP-16). This fusion protein is a powerful transactivator of the tetracycline regulatable promoter of pTeto (which drives the HCMV-gB gene), because of the specific and high affinity attachment of the tetracycline repressor to the tetracycline operator sequences ensures the activation of transcription from the minimal HCMV promoter by the transactivator domain of HSV VP-16 gene (fused to the tetracycline repressor). The gene activation is specific for the p<sub>teto</sub> promoter. In the presence of low, non-toxic concentration of tetracycline (1  $\mu$ g/ml or less), however, the transactivation is switched off, since tetracycline prevents the attachment of the tetracycline repressor to the teto sequences and no or very low gene expression is allowed (i.e., only the minimal HCMV promoter basal activity which is negligible in almost all cell types investigated so far).

To obtain the gB-expression plasmid regulatable by tetracycline, p<sub>TAA</sub> was cut just upstream of the HCMV-IE promoter/enhancer by *Xba*I, blunt-ended and cut with *Pvu*I. The large fragment containing the HCMV-IE promoter-enhancer-t<sub>TA</sub> fusion protein gene followed by the SV40 polyA signal and the *E. coli* sequences of the plasmid (i.e., the replication origin and the beta-lactamase genes) were isolated. This isolated fragment was ligated with the fragment of pUHD10-3 containing the gB gene by the competent blunt-end and *Pvu*I ends, resulting in the plasmid p<sub>teto-gB-t<sub>TA</sub></sub>. The resulting plasmid contains both the transactivator and the HCMV-gB gene. The structure of the plasmid is, in addition to the *E. coli*-part, tetracycline-regulatable promoter (7 teto + minimal HCMV promoter) followed by the HCMV-gB gene, followed by the SV40 polyA signal, followed by the HCMV-IE promoter-enhancer, followed by the t<sub>TA</sub> gene and ending with the SV40 polyA signal.

The tetracycline-controllable expression system has been found to work correctly *in vivo* in the mouse as well [J. Dhawan et al, Somatic Cell and Molecular Genetics, 21:233-240 (1995)]. The pTet-gB plasmid is suitable to 5 control naked DNA immunization. It is possible to give tetracycline to mice in their drinking water in concentrations not toxic for the animals but reaching sufficient levels able to regulate expression in muscle tissues [J. Dhawan et al., Somatic Cell and Molecular 10 Genetics, 21: 233-240 (1995)]. By tetracycline treatment of transfected cultures or inoculated mice the time of antigen exposure can be manipulated. The silent presence of the inoculated plasmid can be tested. Without tetracycline treatment, however, this plasmid simply 15 serves as a plasmid DNA immunogen or vaccine.

Example 2 - Construction of further Plasmids

A. Construction of pRC-gB

pRC/CMV (Invitrogen Corporation) contains the HCMV-IE promoter. The full length gB gene (XbaI-XbaI 20 fragment from pAd5-gB) was obtained using conventional techniques [SEQ ID NO:1] and inserted into pRC/CMV according to manufacturer's directions. The resulting plasmid is termed herein pRC-gB.

B. Construction of pARC-gB

pARC/CMV was derived from pRC/CMV plasmid by 25 deleting the PvuII 1290 - PvuII 3557 fragment to obtain more unique restriction sites. The full gB [SEQ ID NO:1], derived from the plasmid pAd-gB [Marshall et al., J. Infect. Dis., 162:1177-1181 (1990)], was subcloned 30 using conventional techniques, inserted into pUC-8 (commercially available), then obtained as a *Hind*III-*Bam*HI fragment and inserted into the *Hind*III-*Bam*HI digested pARC/CMV vector. The resulting plasmid is termed pARC-gB.

C. Construction of pARC-gB<sub>680</sub>

pARC-gB<sub>680</sub> expresses the N-terminal 680 amino acids of the gB protein [SEQ ID NO:2]. The plasmid was derived from pARC-gB, by deleting the C-terminal 227 amino acids of the gB by Xho-digestion, Klenow polymerase filling, removing the C-terminal portion of the gB gene, and religation of the 5400 bp fragment. The insert is approximately 2200bp.

Example 3 - Construction of pARC-pp65 and pARC-pp15010 A. pARC-pp65

The plasmid pARC-pp65, which expresses the pp65 tegument protein of HCMV, was constructed as follows. H. Pande et al, Virology, 182(1):220-228 (1991), which provides the nucleotide sequences of the pp65 gene, is incorporated by reference herein [SEQ ID NO: 5 and 6].

15 The pp65 gene was isolated from the HCMV genome using conventional polymerase chain reaction techniques and inserted into a suitable expression plasmid. In this experiment, the 1696-bp pp65 gene was excised from the PUC-8-pp65 expression plasmid [Virogenetics] by NruI - BamHI digestion. The vector was blunt-ended with Klenow polymerase, digested with BamHI, and the pp65 gene inserted.

20 B. pARC-pp150

25 The plasmid, pARC-pp150, which expresses the pp150 tegument protein of HCMV, was constructed as follows. The pp150 gene was isolated from the HCMV genome using conventional polymerase chain reaction techniques and inserted into a suitable expression plasmid. One of skill in the art can readily isolate this gene from a desired HCMV strain making use of the published sequences in G. Jahn et al, J. Virol., 61(5):1358-1367 (1987) (which provides the nucleotide

sequences of the Ad169 HCMV pp150 gene and is incorporated by reference herein). See, also Fig. 6A-B herein [SEQ ID NO: 9 and 10].

5 In this experiment, the isolated HCMV-pp150 gene was inserted into the XbaI-restricted pARCd [Virogenetics]. The insert is approximately 3200 bp [SEQ ID NO: 10].

Example 4 - Construction of pARC-IE-Exon-4

10 The plasmid, pARC-IE-Exon-4, which expresses the HCMV-IE exon4 product [SEQ ID NO:4], was constructed as follow. The gene was obtained from pAd5-IE-Exon-4 [International Patent Application WO94/17810, published August 18, 1994 and Berencsi et al., Vaccine, 14:369-374 (1996)], by XbaI-digestion [SEQ ID NO:3]. The insert is 15 1230 bp.

Example 5 - Production of plasmid preparation stocks

20 *E. coli* DH5alpha competent cells (Gibco BRL, Gaithersburg, MD) were transformed with the constructed plasmids. Purified plasmid preparations were prepared on Plasmid Giga Kits (Qiagen Inc. Chatsworth, CA).

Example 6 - Expression of HCMV-proteins after transient transfection of 293 cells with the purified plasmid preparations

25 Transient transfections were performed by the purified plasmid preparations, 1.5  $\mu$ g/3x10<sup>5</sup> cells, using lipofectamine (Gaithersburg, MD). Cells were tested for HCMV-protein expression 2 days after transfection by an immunofluorescence test as described in E. Gonczol et al, Science, 224:159-161 (1984). The antibodies used in this 30 test include the monoclonal pp65-specific Ab [VIROSTAT, Portland, Maine, stock # 0831], monoclonal gB-specific Ab [Advanced Biotechnologies, Columbia, MD], and anti-pp150

monoclonal Ab [Virogenetics Corporation]. The IE-Exon-4-specific monoclonal Ab P63-27 was provided by W. Britt, University of Alabama at Birmingham.

5 The pTet-gB plasmid expresses the full-length HCMV-gB gene under the control of a tetracycline regulatable HCMV-IE promoter. The other plasmids express the inserted gene in transfected 293 cells under the control of the HCMV-IE promoter. Expression of gB, pp65 and pp150 was found to be strong using all plasmids.

10 After transfection with pTet-gB, 10-12% and <1% of cells expressed gB protein in the absence and presence, respectively, of 1 $\mu$ g tetracycline [Tetracycline hydrochloride, Sigma, St. Louis, MO]. Sixty to seventy percent and 40-50% of cells transfected with p $\Delta$ RC-gB and 15 p $\Delta$ gB<sub>680</sub> plasmids, respectively, expressed gB. pp65 protein was expressed in 70-80% of cells transfected with p $\Delta$ RC-pp65.

Example 7 - Immunization Procedures and Assay Methods

A. Immunization procedure

20 BALB/c or CBA mice were first pretreated i.m. with 100  $\mu$ l of Bupivacaine HCl [0.25% Sensorcaine-MPF (ASTRA Pharmaceutical Products, Inc. Westborough, MA)]. In some experiments, identified below, no Bupivacaine pretreatment was used. One day later DNA was inoculated 25 i.m. on the site of Bupivacaine infiltration. The dose for mice was 50-80  $\mu$ g plasmid DNA/ inoculation. Booster inoculations were given i.m. 2x, without pretreatment with Bupivacaine. Mice immunized with p $\Delta$ RC-gB plasmid were boosted 1 x. Mice were bled by retroorbital puncture 30 at the indicated times.

B. ELISA

Semipurified HCMV virions and purified gB proteins may be prepared by immunoaffinity column chromatography as described in E. Gonczol et al, J.

Virol., 58:661-664 (1986). Alternatively, one of skill in the art can readily obtain suitable virions and gB proteins by alternative techniques.

Semipurified HCMV virions (Towne strain) or purified gB protein preparation were used as coating antigen for detection of gB-specific antibodies. OD values higher than mean OD values  $\pm$  2SD of preimmune sera were considered positive, or OD values  $>0.05$ , whichever was higher. Lysates of 293 cells transiently transfected with pARC-pp65 were used as coating antigen for detection of pp65-specific antibodies, lysates prepared from untransfected 293 cells served as control antigen. OD values obtained on control antigen-coated wells were subtracted from OD values obtained on pp65 antigen-coated wells and were considered positive if the resulting value was higher than 0.05.

C. Microneutralization assay

This assay was performed as described in E. Gonczol et al., J. Virol. Methods, 14:37-41 (1986). A neutralizing titer higher than 1:8 was considered positive.

D. Cytotoxic T lymphocyte assay

This assay was performed as described in K. Berencsi et al., J. Gen Virol., 74:2507-2512 (1993). Briefly, spleen cells of immunized mice were restimulated *in vitro* with VacWR-pp65-infected (m.o.i. = 0.2-0.5) autologous spleen cells (effector:stimulator ratio, 2:1) for 5 days in 24-well plates. Cytolytic activity of nonadherent spleen cells was tested in a 4-h  $^{51}\text{Cr}$ -release assay. Target cells (P815 MHC class I-matched, MC57 MHC class I-mismatched) were infected with VacWR-pp65 or VT-Vac WR (m.o.i. = 4-8). Percentage of specific  $^{51}\text{Cr}$ -release was calculated as [(cpm experimental release -

cpm spontaneous release)/(cpm maximal release - cpm spontaneous release) x 100]. A pp65-specific cytotoxicity higher than 10% was considered positive.

Example 8 - Induction of HCMV-Specific Immune Responses

5 by the Plasmid Constructs Expressing the gB Protein

BALB/c mice were inoculated 2 times at 0 and 5 weeks with 80 µg pARC-gB preparation. Serum samples at 5, 9 and 19 weeks after the first inoculation were tested for HCMV-specific ELISA antibodies and neutralizing antibodies (NA). The results are provided in Table 1 below, in which the ELISA antigen used was semipurified virions. The OD of responders is provided as the mean±SD at a serum dilution of 1:80. Mean ± 2SD of the 6 preimmunization sera at a dilution of 1:80 gave an OD value of 0.080. "GM" indicates the geometric mean.

Table 1

pARC-gB induces HCMV-specific ELISA and neutralizing antibodies (antigen: semipurified virion).

20	weeks after first inoculation	No. of ELISA responders/ total	OD of resp. dil 1:80	No. of NA resp.	GM of NA resp.
	0	0/6	0.036±0.022	0/6	NA
25	5	5/6	0.314±0.188	2/2	19
	9	6/6	1.387±0.810	6/6	34
	19	ND	ND	4/4	22

These data demonstrate that all mice responded 30 with both ELISA antibody and NA after the booster inoculation. The pARC-gB plasmid seems to be a highly potent immunizing construct.

Table 2

pTet-gB and pARC-pp65 induces insert-specific ELISA antibodies

		Weeks after first Inoc.	# ELISA responders /total	OD* responders
5	Mice Immunized With:	4	1/10	0.062
		8	9/10	0.277 ± 0.257
10		13	7/7	0.530 ± 0.625
		21	6/6	0.503 ± 0.682
		31	5/6	0.451 ± 0.505
		4	5/10	0.168 ± 0.070
15	pARC-pp65	8	10/10	0.568 ± 0.387
		13	4/4	1.076 ± 0.216

\* Mean OD ± SD of serum samples at dilution 1:40.

HCMV-specific ELISA antibodies were detected in 9 of 10 mice at 8 weeks after the first inoculation with pTet-gB (Table 2). HCMV neutralizing antibodies were detected in 4 of 10 mice, with titers between 1:16 and 1:48 (not shown). All mice immunized with the pARC-pp65 responded with pp65-specific ELISA antibodies. At 13 weeks (pp65- and gB-specific) and up to 31 weeks (gB-specific), OD values remained positive. In a separate experiment pp65-specific ELISA antibodies were also detected during the whole observation period (31 weeks) in 10 of the 10 immunized mice.

Example 9 - Induction of HCMV-Specific Immune Responses by the Plasmid Constructs Expressing pp65

To test whether the combination of the pTet-gB and pARC-pp65 results in reduced responses to the individual components, mice were immunized with both plasmids mixed together or inoculated separately. Groups of mice were inoculated with Bupivacaine (100 µl/mouse, 50 µl/leg),

and 2 days later, with either a mixture of both plasmids (80  $\mu$ g of each DNA/mouse, 40  $\mu$ g of each DNA/leg, 160  $\mu$ g DNA/mouse) or each plasmid inoculated into two different legs (80  $\mu$ g DNA of each plasmid/mouse, a total of 160  $\mu$ g DNA/mouse inoculated in left and right legs). A similar booster was given 4 weeks later. The time course of both the gB- and pp65-specific ELISA antibody response was very similar in both groups, with nearly all mice developing antibodies by 8 or 13 weeks after the first inoculation (Table 3). In another experiment using the combination of the two plasmids, comparable OD values were observed up to 31 weeks after the first inoculation.

Table 3

15 pTet-gB and p $\Delta$ RC-pp65 inoculated into the same animal induce gB and pp65-specific antibodies

		Weeks # gB- after ELISA		# pp65- ELISA		
	Antigen, Inoculation	1st resp. /Total	OD* of responders	resp. /Total	OD of Responders	
20	pTet-gB+ p $\Delta$ RC-pp65, mixed	4	4/10	0.087 $\pm$ 0.024	5/10	0.078 $\pm$ 0.033
		8	10/10	0.220 $\pm$ 0.143	10/10	0.400 $\pm$ 0.321
		13	10/10	0.392 $\pm$ 0.152	9/10	0.303 $\pm$ 0.224
25	pTet-gB+ p $\Delta$ RC-pp65, separately	4	8/10	0.076 $\pm$ 0.021	6/10	0.210 $\pm$ 0.124
		8	9/10	0.202 $\pm$ 0.268	8/10	0.452 $\pm$ 0.333
		13	10/10	0.309 $\pm$ 0.202	8/10	0.308 $\pm$ 0.212

30

\* The mean OD  $\pm$  SD of serum samples at dilution 1:40.

35 Of six mice inoculated with p $\Delta$ RC-pp65 alone at a single site, 3 mice responded with pp65-specific lysis of target cells (Fig. 2). In a second similar experiment, 3 of 9 mice immunized with p $\Delta$ RC-pp65 alone showed strong

pp65-specific CTL responses. pp65-specific CTL were also detected in 4 of 5 tested mice inoculated with the mixture of pARC-pp65 and pTet-gB. When the pARC-pp65 and pTet-gB were inoculated separately into two different 5 legs, 4 of 6 mice tested developed pp65-specific CTL response. These results establish that: 1) pp65-specific CTL responses are induced after DNA immunization; 2) there is no antigenic competition between the gB and pp65 proteins in the induction of 10 antibody and CTL responses; and 3) gB protein expression in the cells at the inoculation site does not interfere with the presentation of pp65-specific T cell epitopes by MHC class I molecules to T cells.

Example 10 - Priming effect of pTet-gB

15 One inoculation of naked plasmid DNA in mice did not result significant antibody responses in a high percentage of mice. To find out whether the immune system of the nonresponder mice was specifically primed by the DNA inoculation, mice inoculated with pTet-gB were 20 boosted 4 weeks later with either purified gB protein (5  $\mu$ g gB/mouse in Alum s.c.) or with the Towne strain of HCMV (20  $\mu$ g/mouse in Alum s.c.).

Table 4  
Inoculation of mice with pTet-gB primes the immune system

	Antigen	wks after priming	No. of NA responder/all	GM of NA/ responder
5	Teto-gB/*	4	0/10	5
	Teto-gB	8	4/10	21
10	Teto-gB/* gB+Alu	4 8	0/10 8/10	4 77
	- / * gB+Alu	4 8	0/10 1/10	NA 16
	Teto-gB/** Towne+Alu	12 14	1/5 5/5	16 97
15	- / ** Towne+Alu	12 14	0/5 3/5	NA 25

\* second inoculations were given 4 weeks after the first inoculation  
20 \*\* Towne was given 12 weeks after the first inoculation

25 This data demonstrates that pTet-gB inoculation primes immune-responses. In other words, the combination of Teto-gB priming and gB+Alu or Towne+Alu booster gave higher number of responder mice and slightly higher NA titers than TetotTA-gB given 2 times.

Example 11 - DNA immunization decreases replication of the corresponding vaccinia recombinant in mice

30 Vaccinia virus recombinants expressing either HCMV-gB or pp65 were prepared using the methods described in WO 94/17810, published August 18, 1994. Briefly, the VacWR-gB and VacWR-pp65 recombinants were constructed as described [Gonczol et al, Vaccine, 9:631-637 (1991)], using the L variant of the neurovirulent WR strain of 35 vaccinia virus as vector [Panicali et al, J. Virol.,

37(3):1000-1010 (1981)] and the gB or pp65 genes (HCMV Towne strain) as inserts cloned into the nonessential *Bam*HI site in the *Hind*III F region [Panicali and Paoletti, Proc. Natl. Acad. Sci., 79:4927-4931 (1982)] under the control of the vaccinia H6 early/late promoter. Vaccinia recombinant viruses and the parental wild-type WR strain were grown on Vero cells and purified as described [Gonczol et al, cited above].

After plasmid immunization, vaccinia virus recombinants expressing either HCMV-gB or pp65 were used for challenge in the model described in WO 94/23744, published October 27, 1994. Vaccinia virus WR strain replicates in mouse lung after intranasal inoculation and immune protection can be evaluated by virus titrations of the lung. Eight-week old female CBA and BALB/c mice were first pretreated with Bupivacaine, then 1 day later immunized either with p $\Delta$ RC-gB or p $\Delta$ RC-pp65 (80  $\mu$ g/mouse). Mice were boosted 8 days later with DNA. Eight days after the second DNA dose mice were i.n. challenged either with  $5 \times 10^6$  pfu of Vaccinia WR-gB or Vaccinia WR-pp65. Lungs were taken at the time of virus challenge (day 0) and at days 1, 3, 4, 5, and 7 after challenge for virus titration. Lungs were homogenized, freeze-thaw 3 times and virus titer determined on Vero cells by plaque titration.

Table 5

Virus titers in the lungs of BALB/c mice immunized with pARC-gB or pARC-pp65 and challenged i.n. with Vac-gB

		<u>Vac-gB titer (log+SD) in lungs*</u>		
5	days after challenge	pARC-gB- immunized	pARC-pp65- immunized	Diff. in titer (log)
	0	3.29±2.83	3.29±2.83	0
10	1	2.24±2.9	2.76±2.51	-0.25
	3	4.86±4.61	5.60±5.45	0.53
	4	4.54±4.47	5.24±4.9	1.13
	5	4.33±3.82	5.03±4.9	1.43
	7	2.85±2.84	4.17±4.27	1.04

15

\*Mean of titer (log) ± SD of 3 or 4 mice

Table 6

Virus titers in the lungs of BALB/c mice immunized with pARC-gB or pARC-pp65 and challenged i.n. with Vac-pp65

		<u>Vac - pp 65 titer (log±SD) in lungs*</u>	
20	days after challenge	pARC-gB- immunized	pARC-pp65- immunized
25	0	5.52±4.83	5.52±4.83
	1	4.31±4.3	4.56±3.5
	3	7.68±6.75	7.15±7.11
	4	7.7±7.66	6.57±6.56
	5	7.45±6.79	6.02±6.14
	30	7.17±6.17	6.23±6.08

\*Mean of titer (log) ± SD of 3 or 4 mice

This data demonstrate that immunization with either plasmid reduced the titer of the corresponding challenge virus by 0.5-1.4 log on days 3, 4, 5 and 7 after the challenge.

5 Example 12 - Secreted form of gB is more potent immunogen than membrane-bound gB

To test whether gB bound to the membranes of gB-expressing cells or truncated form of gB lacking the transmembrane region of the molecule (it is secreted from 10 the cell) induce stronger immune responses, mice were immunized with p $\Delta$ RC-gB (expressing membrane-bound gB) or with p $\Delta$ RCgB<sub>680</sub> (expressing the secreted form of gB) and ELISA and neutralizing antibody responses were evaluated as follows.

15 Plasmids p $\Delta$ RC-gB (expressing the whole gB) and  $\Delta$ RC-gB<sub>680</sub> (expressing N-terminal 680 amino acids of the gB molecule and lacking the transmembrane region) were used in the following immunization protocol. Groups of 10 mice (BALB/c, female, 8 weeks old, purchased from HSD), 20 were inoculated i.m. in the left leg with 50  $\mu$ g plasmid DNA/mouse/inoculation. Mice were not inoculated with bupivacaine prior to DNA inoculation. Two months later a booster immunization was given (same dose, route).

Sera were tested in the gB-specific ELISA assay 25 described above before the booster inoculation and 1 month after booster. The results are shown in Table 7, which shows the OD values of serum dilutions of 1:40 of individual mice. Preimmune serum samples of 40 mice were included. Cut off value: OD = 0.15.

Table 7  
HCMV ELISA antibodies induced by plasmids expressing  
membrane-bound or secreted form of gB

5                   OD of sera of mice immunized with

---

<u>pΔRC-gB</u>			<u>pΔRC-gB<sub>680</sub></u>		
	<u># of mouse</u>	<u>before booster</u>	<u># of mouse</u>	<u>before booster</u>	<u>after booster</u>
10	1	0.31	1	0.83	>3.00
	2	0.09	2	0.52	>3.00
	3	0.09	3	1.65	>3.00
	4	0.06	4	0.06	0.09
	5	0.07	5	1.29	>3.00
15	6	0.04	6	1.92	>3.00
	7	0.08	7	2.31	>3.00
	8	0.51	8	1.22	>3.00
	9	0.07	9	0.62	>3.00
	10	0.06	10	1.50	>3.00

---

20                   The results in Table 7 show that ten mice immunized  
with the pΔRC-gB<sub>680</sub> were positive for stronger gB-  
specific antibody responses than mice immunized with  
pΔRC-gB.

25                   Table 8 provides the results following the  
immunization protocol above, where the mice had been  
boosted after 2 months using the same protocol as  
described for the first immunization. Sera obtained 1  
and 2 month after the booster were tested in a HCMV-  
microneutralization assay. Preimmune sera were included  
30                   as negative controls, NA titers  $\geq$  12 are considered  
positive.

Table 8

*p<sub>Δ</sub>RC-gB<sub>680</sub> expressing secreted form of gB induce stronger neutralizing antibody responses than p<sub>Δ</sub>RC-gB expressing membrane-bound gB*

5

NA titers of sera of mice 1 and 2 month after booster immunized with				
	<u>p<sub>Δ</sub>RC-gB</u>		<u>p<sub>Δ</sub>RC-gB<sub>680</sub></u>	
	1M	2M	1M	2M
10	16	24	128	64
	8	<8	64	32
	4	<4	256	192
	4	8	<4	12
	8	4	128	96
	4	4	64	64
15	8	24	64	32
	48	48	48	ND
	6	4	96	96
	<6	4	16	24

20

As shown in Table 8, nine of the p<sub>Δ</sub>RC-gB<sub>680</sub>-immunized mice developed gB-specific antibodies, but only 3 of 10 responded in the p<sub>Δ</sub>RC-gB-immunized group. HCMV-neutralizing antibody titers were also higher in the p<sub>Δ</sub>RC-gB<sub>680</sub>-immunized mice, 9 of 10 developed significant NA responses versus 3 of 10 in the p<sub>Δ</sub>RC-gB-immunized group (Table 8).

These data show that the p<sub>Δ</sub>RC-gB<sub>680</sub> plasmid expressing the N-terminal 680 amino acids of gB (lacking the transmembrane region of the protein) given intramuscularly induces more potent antibody responses to gB than the p<sub>Δ</sub>RC-gB plasmid expressing the full gB.

Example 13 - p<sub>Δ</sub>RC-gB<sub>680</sub> mixed with p<sub>Δ</sub>RC-pp65 and given at one site or inoculated separately induce both gB- and pp65-specific antibodies

As shown above, pTet-gB and p<sub>Δ</sub>RC-pp65 plasmids mixed and inoculated at one site induced immune responses to both gB and pp65 indicating that there is no antigenic competition between gB and pp65. In this experiment whether the p<sub>Δ</sub>RC-gB<sub>680</sub> (expressing the secreted form of gB) is suitable for immunization in a mixture with p<sub>Δ</sub>RC-pp65 was tested.

Groups of 10 BALB/c mice (female, HSD, 9-10 weeks old) were inoculated either with a mixture of two plasmids containing 50 µg of each in 200 µl: 100 µl (50 µg) into the left leg, 100 µl (50 µg) into the right leg; or the two different plasmids were inoculated separately: one kind of DNA (100 µl/50 µg) into the left leg, the other kind of plasmid (100 µl/50 µg) into the right leg. A booster immunization was given 1 month later. The plasmids used in this study were p<sub>Δ</sub>RC-pp65, p<sub>Δ</sub>RC-gB, and p<sub>Δ</sub>RC-gB<sub>680</sub>. Table 9 shows results obtained with sera taken 8 days after booster. The ELISA antigen was purified gB. Cut off value: 0.081.

The results show that mice immunized with mixtures of p<sub>Δ</sub>RC-gB and p<sub>Δ</sub>RC-pp65 developed both gB and pp65 ELISA antibodies. Similar responses were observed in mice immunized with the two plasmids given at separate sites (Table 10 below). HCMV-gB-specific antibody responses in mice immunized with p<sub>Δ</sub>RC-gB<sub>680</sub> either given in mixture with p<sub>Δ</sub>RC-pp65 or at separate sites were stronger than in mice immunized with the full-gB-expressing p<sub>Δ</sub>RC-gB (these results confirm that the secreted form of gB is a stronger immunogen than the membrane-bound form).

Table 9

$p\Delta RC-gB_{680}$  mixed with  $p\Delta RC-pp65$  and given at one site or inoculated separately induce gB-specific antibodies

5 gB-specific antibody (OD at serum dilutions of 1:40)

mice inoculated with $p\Delta RC-gB$ and $p\Delta RC-pp65$				mice inoculated with $p\Delta RC-gB_{680}$ and $p\Delta RC-pp65$			
	at one <u>mouse</u> <u>site</u>	at two <u>mouse</u> <u>sites</u>		at one <u>mouse</u> <u>site</u>	at two <u>mouse</u> <u>sites</u>		
10	#326 0.085	#356 0.115		#341 1.280	#336 1.058		
	#327 0.193	#357 0.082		#342 1.070	#337 0.550		
	#328 0.121	#358 0.099		#343 1.385	#338 0.193		
	#329 0.060	#359 0.107		#344 1.190	#339 1.039		
15	#330 0.115	#360 0.107		#345 2.588	#340 0.207		
	#331 0.093	#361 NT		#351 1.037	#346 0.288		
	#332 0.061	#362 0.092		#352 0.771	#347 0.220		
	#333 0.089	#363 0.065		#353 0.493	#348 0.513		
	#334 0.078	#364 0.152		#354 0.560	#349 0.223		
20	#335 0.088	#365 0.082		#355 0.933	#350 0.719		
	Mean OD: 0.098	0.100		1.130	0.521		

25 Mice immunized as above with the mixture of  $p\Delta RC-gB_{680}$  and  $p\Delta RC-pp65$  showed gB-specific antibody responses similar to those observed in mice immunized with the two kinds of plasmids given at separate sites. Results of pp65-specific antibody responses showed that mice responded to the pp65 antigen regardless of immunization 30 with a mixture or with plasmids given at separate sites (Table 10). Table 10 shows results obtained with sera taken 8 days after booster (cut off value: 0.050).

Table 10

$p\Delta RC-gB_{680}$  mixed with  $p\Delta RC-pp65$  and given at one site or inoculated separately induce pp65-specific antibodies

5 pp65-specific antibody (OD at serum dilutions of 1:40)

mice inoculated with $p\Delta RC-gB$ and $p\Delta RC-pp65$				mice inoculated with $p\Delta RC-gB_{680}$ and $p\Delta RC-$ pp65			
10	at one <u>mouse</u> <u>site</u>	at two <u>mouse</u> <u>sites</u>		at one <u>mouse</u> <u>site</u>	at two <u>mouse</u> <u>sites</u>		
	#326 0.037	#356 0.000		#341 0.389	#336 0.276		
	#327 0.149	#357 0.000		#342 0.238	#337 0.295		
	#328 0.002	#358 0.508		#343 0.440	#338 0.000		
15	#329 0.000	#359 0.008		#344 0.077	#339 0.009		
	#330 0.009	#360 0.176		#345 0.008	#340 0.030		
	#331 0.007	#361 dead		#351 0.081	#346 0.051		
	#332 0.014	#362 0.009		#352 0.077	#347 0.124		
	#333 0.000	#363 0.028		#353 0.049	#348 0.281		
20	#334 0.000	#364 0.097		#354 0.016	#349 0.118		
	#335 0.008	#365 0.201		#355 0.178	#350 0.014		
	Mean 0.014 OD:	0.109		0.154	0.111		

25 The data show that mice develop significant immune responses both to gB and pp65 after immunization with a mixture of  $p\Delta RC-gB_{680}$  and  $p\Delta RC-pp65$ , indicating that these two HCMV antigens are able to induce parallel immune responses when introduced by expression plasmids 30 to the immune system.

Example 14 - Immunization Studies in Mice Immunized with HCMV Plasmid Vectors Expressing Full-Length and Transmembrane-Deleted gB

As shown in the studies described above, full-length gB and transmembrane-deleted gB have been found to induce a strong and long-term antibody response when delivered by plasmid DNA. The following experiments provide further evidence of this effect.

A. pCBgB and pCB-gB $\Delta$ tm

The gB open reading frame (ORF, nucleotides 1-2724) was obtained from the CMV Towne strain [SEQ ID NO: 1] using conventional techniques. The gB $\Delta$ tm (transmembrane-deleted gB) was obtained from the wild type gene by deleting in frame the sequences coding for the hydrophobic transmembrane domain of the protein [nucleotides 2143 - 2316 were deleted from the gB ORF, SEQ ID NO:1]. These two coding sequences were introduced into the polylinker of the eukaryotic expression vector pCB11 corresponding to a commercially available pUC backbone with the HCMV IE1 promoter/enhancer sequences and the terminator sequences from the bovine growth hormone gene (Fig. 7A). The resulting plasmids, pCBgB and pCBgB $\Delta$ tm expressing the full-length gB and its truncated version, respectively, are shown in Fig. 8. Protein expression from pCBgB and from pCBgB $\Delta$ tm was confirmed by immunofluorescence and immunoprecipitation after transfection into cultured CHO-K1 cells. The immunoprecipitation experiment indicated that only pCBgB $\Delta$ tm gave rise to a secreted form of gB which could be recovered from the cell culture medium.

B. Immunization

The study described below was performed with pCBgB and pCBgB $\Delta$ tm in 6-8 week old female BALB/c mice. Anesthetized (xylazine + ketamine) mice (8 per group) received three administrations of 50  $\mu$ g pCBgB or pCBgB $\Delta$ tm

at three week intervals (days 0, 21 and 42) either intramuscularly (IM) or intradermally (ID). For IM administration, DNA in 50 $\mu$ l of saline was injected into the quadriceps with a Hamilton syringe equipped with a 20 gauge needle. For ID administration, DNA in a total volume of 100  $\mu$ l of saline was injected into 5 sites of shaved dorsal skin with a pneumatic jet injector.

In each group, mice were labeled and bled on days 14 (following 1 injection), 35 (following 2 injections), 56 (following 3 injections), 116 and 202. The anti-urease IgG antibody response was followed by ELISA against recombinant gB produced in MRC5 cells infected with ALVAC-gB. The sera collected on days 116 and 202 were analyzed for hCMV neutralization in complement dependent microneutralization assay [Gonczol et al, cited above (1986)]. The data is provided in Table 11 and summarized in Fig. 9.

TABLE 11

20 INDIVIDUAL ELISA TITERS  
IN MICE IMMUNIZED WITH HCMV GB PLASMID VECTORS

Day	#	Mouse	Intramuscular		Intradermal		neg. serum ELISA
			pCBgB ELISA	pCBgB $\Delta$ tm ELISA	pCBgB ELISA	pCBgB $\Delta$ tm ELISA	
25	14	1	50	50	<50	<50	<50
		2	<50	200	<50	<50	<50
		3	100	9600	100	<50	
		4	<50	300	<50	<50	
		5	100	100	<50	<50	
30		6	<50	75	<50	50	
		7	100	75	<50	<50	
		8	50	<50	<50	<50	
35	35	1	100	100	75	50	<50
		2	150	900	150	600	<50
		3	200	12800	6400	2400	
		4	150	3200	1600	200	
		5	400	1200	100	1600	
40		6	100	1200	1200	6400	
		7	150	300	75	100	
		8	150	100	200	150	

TABLE 11 (con't)

INDIVIDUAL ELISA TITERS  
IN MICE IMMUNIZED WITH HCMV GB PLASMID VECTORS

5	Day	#	Intramuscular		Intradermal		neg. serum ELISA
			pCBgB ELISA	pCBgBΔtm ELISA	pCBgB ELISA	pCBgBΔtm ELISA	
10	56	1	150	1600	200	1200	<50
		2	200	2400	200	38400	<50
		3	200	38400	6400	12800	
		4	75	61200	6400	12800	
		5	400	2400	1200	4800	
		6	100	38400	3200	9600	
		7	200	19200	600	1600	
		8	600	4800	1200	4800	
15	116	1	<50	1200	75	600	<50
		2	1600	800	37.5	12800	<50
		3	400	9600	1200	640	
		4	<50	25600	2400	4800	
		5	25	1600	150	800	
		6	<50	25600	1600	4800	
		7	<50	6400	300	800	
		8	200	1200	200	800	
20	202	1	<50	1000	50	250	<50
		2	400	1000	25	8000	<50
		3	1600	8000	800	3000	
		4	<50	64000	1600	1500	
		5	25	1500	50	500	
		6	<50	24000	1200	3000	
		7	<50	4000	200	375	
		8		1000	150	375	

As illustrated in Table 11 above and in Fig. 9,  
35 pCBgB and pCBgBΔtm plasmids induced serum IgGs against  
recombinant gB protein after IM or ID administration in  
BALB/c mice [pCBgBΔtm/ID  $\geq$  pCBgBΔtm/IM  $\gg$  pCBgB/ID  $\geq$   
pCBgB/IM]. pCBgB and pCBgBΔtm plasmids induced  
detectable neutralizing antibodies to HCMV (in vitro  
40 assay) after IM or ID administration in BALB/c mice  
[pCBgBΔtm  $>$  pCBgB].

pCB-gB and pCB-gB $\Delta$ tm have been observed to induce a strong and long-term antibody response. pCBgB and especially pCB-gB $\Delta$ tm induce neutralizing antibodies.

The nature of the response (IgG<sub>1</sub>/IgG<sub>2a</sub>) differs 5 between pCB-gB and pCB-gB $\Delta$ tm. Particularly, pCB-gB has been observed to induce an IgG<sub>1</sub> (T<sub>H2</sub>) response which is approximately equivalent to the IgG<sub>2a</sub> (T<sub>H1</sub>) response induced. In contrast, pCB-gB $\Delta$ tm has been observed to induce an IgG<sub>1</sub> response that is significantly stronger 10 than the IgG<sub>2a</sub> response induced.

Numerous modifications and variations of the present invention are included in the above-identified specification and are expected to be obvious to one of skill in the art. Such modifications and alterations to 15 the compositions and processes of the present invention are believed to be encompassed in the scope of the claims appended hereto.

International Application No: PCT/ /

**MICROORGANISMS**Optional Sheet in connection with the microorganism referred to on page 8, line S 9-10 of the description <sup>1</sup>

**A. IDENTIFICATION OF DEPOSIT** <sup>2</sup> pTet-gB  
 Further deposits are identified on an additional sheet  <sup>3</sup>

Name of depositary institution <sup>4</sup>

American Type Culture Collection

Address of depositary institution (including postal code and country) <sup>4</sup>12301 Parklawn Drive  
Rockville, Maryland 20852 USADate of deposit <sup>4</sup>

April 23, 1996

Accession Number <sup>4</sup>

98029

**B. ADDITIONAL INDICATIONS** <sup>1</sup> (leave blank if not applicable). This information is continued on a separate attached sheet

**C. DESIGNATED STATES FOR WHICH INDICATIONS ARE MADE** <sup>1</sup> (if the indications are not for all designated States)

**D. SEPARATE FURNISHING OF INDICATIONS** <sup>1</sup> (leave blank if not applicable)

The indications listed below will be submitted to the International Bureau later <sup>4</sup> (Specify the general nature of the indications e.g., "Accession Number of Deposit")

**E.**  This sheet was received with the international application when filed (to be checked by the receiving Office)

PAUL F. URRUTIA *PFU*

(Authorized Officer)

The date of receipt (from the applicant) by the International Bureau <sup>10</sup>

WBB

(Authorized Officer)

## WHAT IS CLAIMED IS:

1. A DNA molecule which is non-replicating in mammals and comprises a sequence encoding a human cytomegalovirus antigen,

wherein the sequence is operably linked to regulatory sequences for expressing the antigen in mammals and wherein the antigen elicits an immune response in the mammal.

2. The DNA molecule according to claim 1 which is a plasmid.

3. The DNA molecule according to claim 1 or claim 2 wherein said antigen is selected from the group consisting of:

- (a) gB;
- (b) a gB derivative lacking at least the transmembrane domain;
- (c) pp65;
- (d) pp150;
- (e) immediate-early exon-4; and
- (f) combinations of (a) - (e).

4. The DNA molecule according to claim 3 which comprises a sequence encoding the gB and the pp65 antigens.

5. The DNA molecule according to claim 3 which comprises a sequence encoding the gB derivative and a sequence encoding the pp65 antigen.

6. A pTet-gB DNA plasmid, said plasmid comprising the human cytomegalovirus (HCMV) gB gene and a tetracycline regulatable HCMV-immediate early promoter, said promoter controlling the expression of gB.

7. A pARC/CMV DNA plasmid, said plasmid comprising the human cytomegalovirus (HCMV) gB gene and capable of expressing gB.

8. A pARC-gB<sub>680</sub> plasmid, said plasmid comprising the portion of the human cytomegalovirus (HCMV) gene encoding the N-terminal 680 amino acids of the gB protein (gB<sub>1-680</sub>) and capable of expressing gB<sub>1-680</sub>.

9. A pARC-pp65 plasmid, said plasmid comprising the human cytomegalovirus (HCMV) gene encoding the HCMV pp65 tegument protein and capable of expressing pp65.

10. A pARC-pp150 plasmid, said plasmid comprising the human cytomegalovirus (HCMV) gene encoding the HCMV pp150 tegument protein and capable of expressing pp150.

11. A pARC-exon-4 plasmid, said plasmid comprising the portion of the human cytomegalovirus (HCMV) gene encoding HCMV immediate-early (IE)-exon-4 and capable of expressing IE-exon-4.

12. An immunogenic composition comprising a carrier and a DNA molecule according to any of claims 1-5.

13. The immunogenic composition according to claim 12 wherein the DNA molecule is selected from the group consisting of:

- (a) pARC-gB;
- (b) pTet-gB;
- (c) pARC-pp65;
- (d) pARC-gB<sub>680</sub>;
- (e) pARC-pp150; and
- (f) pARC-exon-4.

14. The immunogenic composition according to claim 12 or 13 comprising two or more DNA molecules.

15. The immunogenic composition according to claim 14 comprising a first DNA molecule which comprises a sequence encoding the gB antigen or a gB derivative, and a second DNA molecule which comprises a sequence encoding the pp65 antigen.

16. The immunogenic composition according to any of claims 12 to 15 wherein the carrier is selected from the group consisting of saline and isotonic water.

17. A method of inducing human cytomegalovirus-specific (HCMV) immune responses in an animal, comprising the step of administering to said animal an effective amount of a first immunogenic composition according to any of claims 12 to 16.

18. The method according to claim 17 wherein the composition comprises pTet-gB and pARC-pp65.

19. The method according to claim 16 further comprising the step of administering a second immunogenic composition to said animal, said second immunogenic composition comprising a plasmid selected from the group consisting of:

- (a) pARC-gB;
- (b) pTet-gB;
- (c) pARC-pp65;
- (d) pARC-gB<sub>680</sub>;
- (e) pARC-pp150; and
- (f) pARC-IE-Exon-4.

20. The method according to claim 17, wherein said second immunogenic composition is administered between about 2 to about 15 weeks following administration of said first immunogenic composition.

21. The use of a DNA molecule according to any of claims 1 to 5 or a plasmid according to any of claims 6 to 11 in the preparation of a medicament to treat a cytomegalovirus infection.

22. A method of priming immune responses to a selected human cytomegalovirus immunogenic composition, comprising the steps of:

administering a first immunogenic composition according to any of claims 12 to 16 and administering the selected human cytomegalovirus immunogenic composition.

23. The method according to claim 22 wherein the first immunogenic composition is administered between about 4 and 15 weeks prior to administration of the selected immunogenic composition.

24. The method according to claim 22 or claim 23 wherein the first immunogenic composition comprises pTet-gB.

25. The method according to claim 24, wherein pTet-gB is administered in an amount between about 50  $\mu$ g to about 160  $\mu$ g.

26. The method according to claim 22, wherein the selected immunogenic composition comprises an immunogen selected from the group consisting of a recombinant virus comprising an HCMV immunogen, an HCMV protein, and HCMV virions.

27. The method according to claim 26 wherein the HCMV protein is gB.

28. The method according to claim 26 wherein the recombinant virus is selected from the group consisting of Ad5.gb and Ad5-IE-exon-4.

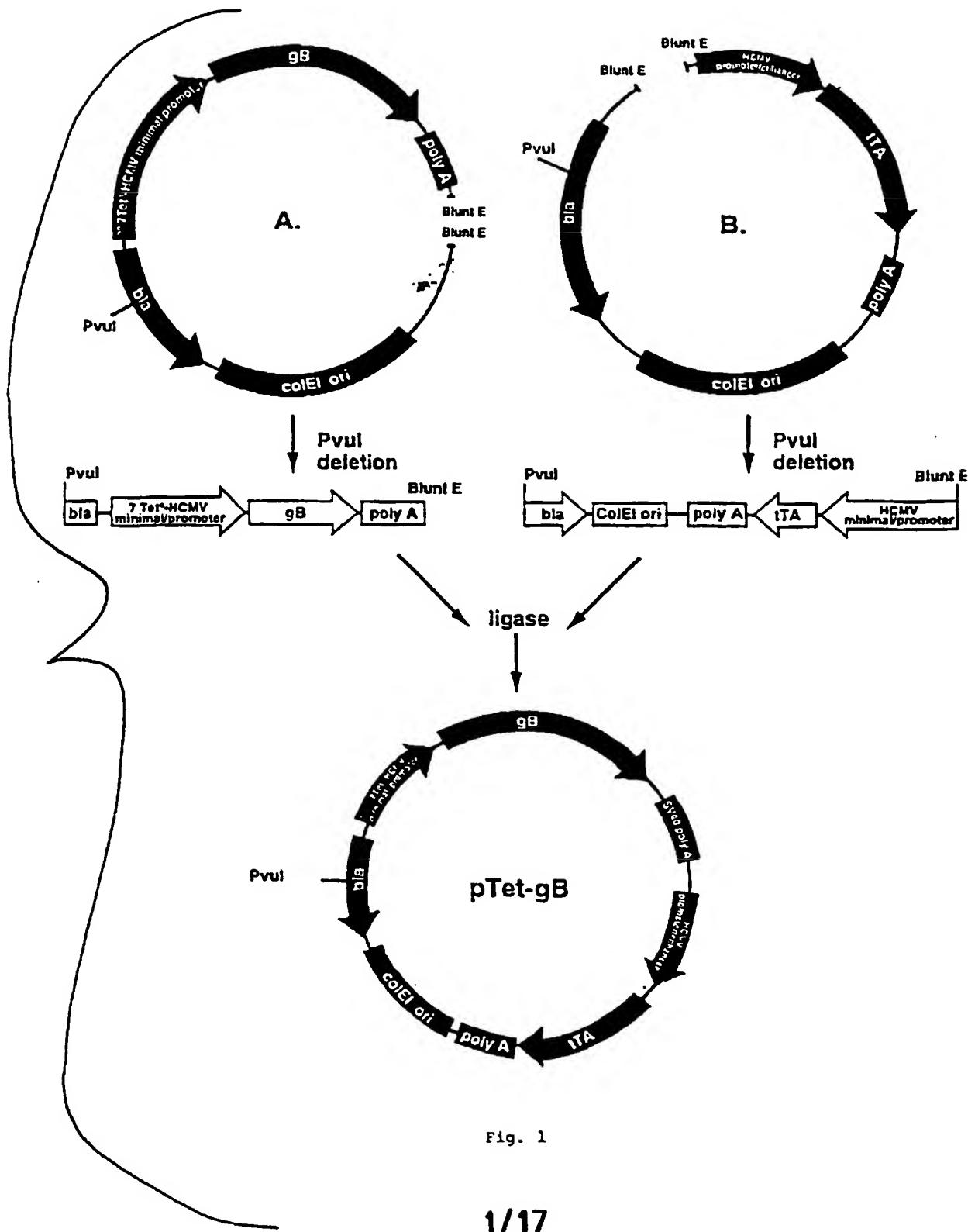


Fig. 1

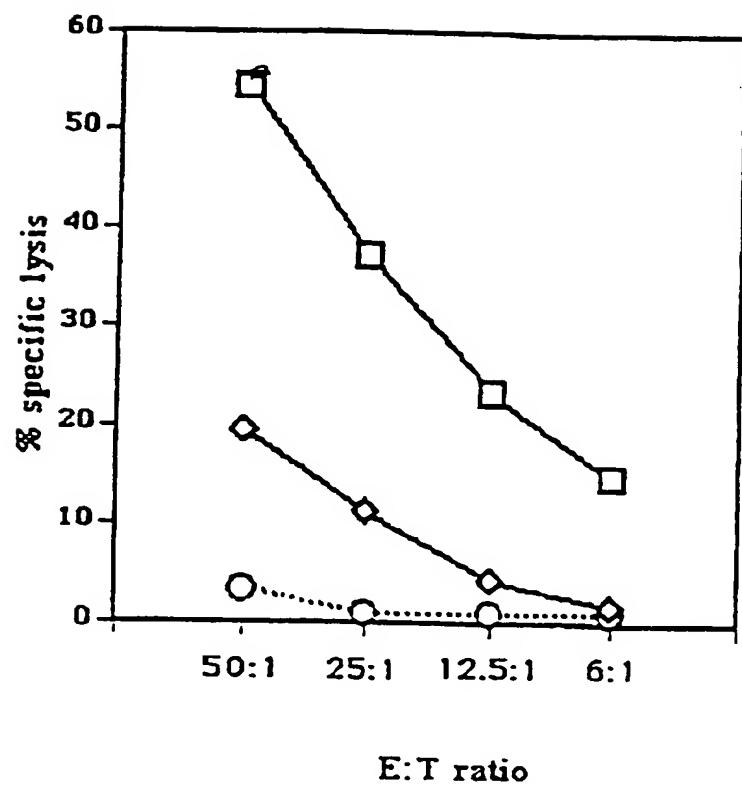


Fig. 2

## FIGURE 3A

ATG GAA TCC AGG ATC TGG TGC CTG GTA GTC TGC GTT AAC TTG TGT	45
Met Glu Ser Arg Ile Trp Cys Leu Val Val Cys Val Asn Leu Cys	
1 5 10 15	
ATC GTC TGT CTG GGT GCT GCG GTT TCC TCA TCT TCT ACT CGT GGA	90
Ile Val Cys Leu Gly Ala Ala Val Ser Ser Ser Thr Arg Gly	
20 25 30	
ACT TCT GCT ACT CAC AGT CAC CAT TCC TCT CAT ACG ACG TCT GCT	135
Thr Ser Ala Thr His Ser His Ser Ser His Thr Thr Ser Ala	
35 40 45	
GCT CAT TCT CGA TCC GGT TCA GTC TCT CAA CGC GTA ACT TCT TCC	180
Ala His Ser Arg Ser Gly Ser Val Ser Gln Arg Val Thr Ser Ser	
50 55 60	
CAA ACG GTC AGC CAT GGT GTT AAC GAG ACC ATC TAC AAC ACT ACC	225
Gln Thr Val Ser His Gly Val Asn Glu Thr Ile Tyr Asn Thr Thr	
65 70 75	
CTC AAG TAC GGA GAT GTG GTG GGG GTC AAC ACC ACC AAG TAC CCC	270
Leu Lys Tyr Gly Asp Val Val Gly Val Asn Thr Thr Lys Tyr Pro	
80 85 90	
TAT CGC GTG TGT TCT ATG GCA CAG GGT ACG GAT CTT ATT CGC TTT	315
Tyr Arg Val Cys Ser Met Ala Gln Gly Thr Asp Leu Ile Arg Phe	
95 100 105	
GAA CGT AAT ATC GTC TGC ACC TCG ATG AAG CCC ATC AAT GAA GAC	360
Glu Arg Asn Ile Val Cys Thr Ser Met Lys Pro Ile Asn Glu Asp	
110 115 120	
CTG GAC GAG GGC ATC ATG GTG GTC TAC AAA CGC AAC ATC GTC GCG	405
Leu Asp Glu Gly Ile Met Val Val Tyr Lys Arg Asn Ile Val Ala	
125 130 135	
CAC ACC TTT AAG GTA CGA GTC TAC CAG AAG GTT TTG ACG TTT CGT	450
His Thr Phe Lys Val Arg Val Tyr Gln Lys Val Leu Thr Phe Arg	
140 145 150	
CGT AGC TAC GCT TAC ATC CAC ACC ACT TAT CTG CTG GGC AGC AAC	495
Arg Ser Tyr Ala Tyr Ile His Thr Thr Tyr Leu Leu Gly Ser Asn	
155 160 165	
ACG GAA TAC GTG GCG CCT CCT ATG TGG GAG ATT CAT CAT ATC AAC	540
Thr Glu Tyr Val Ala Pro Pro Met Trp Glu Ile His His Ile Asn	
170 175 180	
AGT CAC AGT CAG TGC TAC AGT TCC TAC AGC CGC GTT ATA GCA GGC	585
Ser His Ser Gln Cys Tyr Ser Ser Tyr Ser Arg Val Ile Ala Gly	
185 190 195	
ACG GTT TTC GTG GCT TAT CAT AGG GAC AGC TAT GAA AAC AAA ACC	630
Thr Val Phe Val Ala Tyr His Arg Asp Ser Tyr Glu Asn Lys Thr	
200 205 210	

## FIGURE 3B

ATG CAA TTA ATG CCC GAC GAT TAT TCC AAC ACC CAC AGT ACC CGT	675
Met Gln Leu Met Pro Asp Asp Tyr Ser Asn Thr His Ser Thr Arg	
215 220 225	
TAC GTG ACG GTC AAG GAT CAA TGG CAC AGC CGC GGC AGC ACC TGG	720
Tyr Val Thr Val Lys Asp Gln Trp His Ser Arg Gly Ser Thr Trp	
230 235 240	
CTC TAT CGT GAG ACC TGT AAT CTG AAT TGT ATG GTG ACC ATC ACT	765
Leu Tyr Arg Glu Thr Cys Asn Leu Asn Cys Met Val Thr Ile Thr	
245 250 255	
ACT GCG CGC TCC AAG TAT CCC TAT CAT TTT TTC GCA ACT TCC ACG	810
Thr Ala Arg Ser Lys Tyr Pro Tyr His Phe Phe Ala Thr Ser Thr	
260 265 270	
GGT GAT GTG GTT GAC ATT TCT CCT TTC TAC AAC GGA ACT AAT CGC	855
Gly Asp Val Val Asp Ile Ser Pro Phe Tyr Asn Gly Thr Asn Arg	
275 280 285	
AAT GCC AGC TAT TTT GGA GAA AAC GCC GAC AAG TTT TTC ATT TTT	900
Asn Ala Ser Tyr Phe Gly Glu Asn Ala Asp Lys Phe Phe Ile Phe	
290 295 300	
CCG AAC TAC ACT ATC GTC TCC GAC TTT GGA AGA CCG AAT TCT GCG	945
Pro Asn Tyr Thr Ile Val Ser Asp Phe Gly Arg Pro Asn Ser Ala	
305 310 315	
TTA GAG ACC CAC AGG TTG GTG GCT TTT CTT GAA CGT GCG GAC TCA	990
Leu Glu Thr His Arg Leu Val Ala Phe Leu Glu Arg Ala Asp Ser	
320 325 330	
GTG ATC TCC TGG GAT ATA CAG GAC GAG AAG AAT GTT ACT TGT CAA	1035
Val Ile Ser Trp Asp Ile Gln Asp Glu Lys Asn Val Thr Cys Gln	
335 340 345	
CTC ACT TTC TGG GAA GCC TCG GAA CGC ACC ATT CGT TCC GAA GCC	1080
Leu Thr Phe Trp Glu Ala Ser Glu Arg Thr Ile Arg Ser Glu Ala	
350 355 360	
GAG GAC TCG TAT CAC TTT TCT TCT GCC AAA ATG ACC GCC ACT TTC	1125
Glu Asp Ser Tyr His Phe Ser Ser Ala Lys Met Thr Ala Thr Phe	
365 370 375	
TTA TCT AAG AAG CAA GAG GTG AAC ATG TCC GAC TCT GCG CTG GAC	1170
Leu Ser Lys Lys Gln Glu Val Asn Met Ser Asp Ser Ala Leu Asp	
380 385 390	
TGT GTA CGT GAT GAG GCC ATA AAT AAG TTA CAG CAG ATT TTC AAT	1215
Cys Val Arg Asp Glu Ala Ile Asn Lys Leu Gln Gln Ile Phe Asn	
395 400 405	
ACT TCA TAC AAT CAA ACA TAT GAA AAA TAT GGA AAC GTG TCC GTC	1260
Thr Ser Tyr Asn Gln Thr Tyr Glu Lys Tyr Gly Asn Val Ser Val	
410 415 420	

## FIGURE 3C

TTT GAA ACC ACT GGT GGT TTG GTG GTG TTC TGG CAA GGT ATC AAG	1305
Phe Glu Thr Thr Gly Gly Leu Val Val Phe Trp Gln Gly Ile Lys	
425 430 435	
CAA AAA TCT CTG GTG GAA CTC GAA CGT TTG GCC AAC CGC TCC AGT	1350
Gln Lys Ser Leu Val Glu Leu Glu Arg Leu Ala Asn Arg Ser Ser	
440 445 450	
CTG AAT CTT ACT CAT AAT AGA ACC AAA AGA AGT ACA GAT GGC AAC	1395
Leu Asn Leu Thr His Asn Arg Thr Lys Arg Ser Thr Asp Gly Asn	
455 460 465	
AAT GCA ACT CAT TTA TCC AAC ATG GAG TCG GTG CAC AAT CTG GTC	1440
Asn Ala Thr His Leu Ser Asn Met Glu Ser Val His Asn Leu Val	
470 475 480	
TAC GCC CAG CTG CAG TTC ACC TAT GAC ACG TTG CGC GGT TAC ATC	1485
Tyr Ala Gln Leu Gln Phe Thr Tyr Asp Thr Leu Arg Gly Tyr Ile	
485 490 495	
AAC CGG GCG CTG GCG CAA ATC GCA GAA GCC TGG TGT GTG GAT CAA	1530
Asn Arg Ala Leu Ala Gln Ile Ala Glu Ala Trp Cys Val Asp Gln	
500 505 510	
CGG CGC ACC CTA GAG GTC TTC AAG GAA CTT AGC AAG ATC AAC CCG	1575
Arg Arg Thr Leu Glu Val Phe Lys Glu Leu Ser Lys Ile Asn Pro	
515 520 525	
TCA GCT ATT CTC TCG GCC ATC TAC AAC AAA CCG ATT GCC GCG CGT	1620
Ser Ala Ile Leu Ser Ala Ile Tyr Asn Lys Pro Ile Ala Ala Arg	
530 535 540	
TTC ATG GGT GAT GTC CTG GGT CTG GCC AGC TGC GTG ACC ATT AAC	1665
Phe Met Gly Asp Val Leu Gly Leu Ala Ser Cys Val Thr Ile Asn	
545 550 555	
CAA ACC AGC GTC AAG GTG CTG CGT GAT ATG AAT GTG AAG GAA TCG	1710
Gln Thr Ser Val Lys Val Leu Arg Asp Met Asn Val Lys Glu Ser	
560 565 570	
CCA GGA CGC TGC TAC TCA CGA CCA GTG GTC ATC TTT AAT TTC GCC	1755
Pro Gly Arg Cys Tyr Ser Arg Pro Val Val Ile Phe Asn Phe Ala	
575 580 585	
AAC AGC TCG TAC GTG CAG TAC GGT CAA CTG GGC GAG GAT AAC GAA	1800
Asn Ser Ser Tyr Val Gln Tyr Gly Gln Leu Gly Glu Asp Asn Glu	
590 595 600	
ATC CTG TTG GGC AAC CAC CGC ACT GAG GAA TGT CAG CTT CCC AGC	1845
Ile Leu Leu Gly Asn His Arg Thr Glu Glu Cys Gln Leu Pro Ser	
605 610 615	
CTC AAG ATC TTC ATC GCC GGC AAC TCG GCC TAC GAG TAC GTG GAC	1890
Leu Lys Ile Phe Ile Ala Gly Asn Ser Ala Tyr Glu Tyr Val Asp	
620 625 630	

## FIGURE 3D

TAC CTC TTC AAA CGC ATG ATT GAC CTC AGC AGC ATC TCC ACC GTC	1935
Tyr Leu Phe Lys Arg Met Ile Asp Leu Ser Ser Ile Ser Thr Val	
635 640 645	
GAC AGC ATG ATC GCC CTA GAC ATC GAC CCG CTG GAA AAC ACC GAC	1980
Asp Ser Met Ile Ala Leu Asp Ile Asp Pro Leu Glu Asn Thr Asp	
650 655 660	
TTC AGG GTA CTG GAA CTT TAC TCG CAG AAA GAA TTG CGT TCC AGC	2025
Phe Arg Val Leu Glu Leu Tyr Ser Gln Lys Glu Leu Arg Ser Ser	
665 670 675	
AAC GTT TTT GAT CTC GAG GAG ATC ATG CGC GAG TTC AAT TCG TAT	2070
Asn Val Phe Asp Leu Glu Glu Ile Met Arg Glu Phe Asn Ser Tyr	
680 685 690	
AAG CAG CGG GTA AAG TAC GTG GAG GAC AAG GTA GTC GAC CCG CTG	2115
Lys Gln Arg Val Lys Tyr Val Glu Asp Lys Val Val Asp Pro Leu	
695 700 705	
CCG CCC TAC CTC AAG GGT CTG GAC GAC CTC ATG AGC GGC CTG GGC	2160
Pro Pro Tyr Leu Lys Gly Leu Asp Asp Leu Met Ser Gly Leu Gly	
710 715 720	
GCC GCG GGA AAG GCC GTT GGC GTA GCC ATT GGG GCC GTG GGT GGC	2205
Ala Ala Gly Lys Ala Val Gly Val Ala Ile Gly Ala Val Gly Gly	
725 730 735	
GCG GTG GCC TCC GTG GTC GAA GGC GTT GCC ACC TTC CTC AAA AAC	2250
Ala Val Ala Ser Val Val Glu Gly Val Ala Thr Phe Leu Lys Asn	
740 745 750	
CCC TTC GGA GCC TTC ACC ATC ATC CTC GTG GCC ATA GCC GTC GTC	2295
Pro Phe Gly Ala Phe Thr Ile Ile Leu Val Ala Ile Ala Val Val	
755 760 765	
ATT ATC ATT TAT TTG ATC TAT ACT CGA CAG CGG CGT CTC TGC ATG	2340
Tyr Leu Ile Tyr Thr Arg Gln Arg Arg Leu Cys Met Gln Pro Leu	
770 775 780	
CAG CCG CTG CAG AAC CTC TTT CCC TAT CTG GTG TCC GCC GAC GGG	2385
Ile Ile Ile Gln Asn Leu Phe Pro Tyr Leu Val Ser Ala Asp Gly	
785 790 795	
ACC ACC GTG ACG TCG GGC AAC ACC AAA GAC ACG TCG TTA CAG GCT	2430
Thr Thr Val Thr Ser Gly Asn Thr Lys Asp Thr Ser Leu Gln Ala	
800 805 810	
CCG CCT TCC TAC GAG GAA AGT GTT TAT AAT TCT GGT CGC AAA GGA	2475
Pro Pro Ser Tyr Glu Glu Ser Val Tyr Asn Ser Gly Arg Lys Gly	
815 820 825	
CCG GGA CCA CCG TCG TCT GAT GCA TCC ACG GCG GCT CCG CCT TAC	2520
Pro Gly Pro Pro Ser Ser Asp Ala Ser Thr Ala Ala Pro Pro Tyr	
830 835 840	

## FIGURE 3E

ACC AAC GAG CAG GCT TAC CAG ATG CTT CTG GCC CTG GTC CGT CTG	2565
Thr Asn Glu Gln Ala Tyr Gln Met Leu Leu Ala Leu Val Arg Leu	
845 850 855	
GAC GCA GAG CAG CGA GCG CAG CAG AAC GGT ACA GAT TCT TTG GAC	2610
Asp Ala Glu Gln Arg Ala Gln Gln Asn Gly Thr Asp Ser Leu Asp	
860 865 870	
GGA CAG ACT GGC ACG CAG GAC AAG GGA CAG AAG CCC AAC CTG CTA	2655
Gly Gln Thr Gly Thr Gln Asp Lys Gly Gln Lys Pro Asn Leu Leu	
875 880 885	
GAC CGA CTG CGA CAC CGC AAA AAC GGC TAC CGA CAC TTG AAA GAC	2700
Asp Arg Leu Arg His Arg Lys Asn Gly Tyr Arg His Leu Lys Asp	
890 895 900	
TCC GAC GAA GAA GAG AAC GTC TGA	2724
Ser Asp Glu Glu Asn Val	
905	

FIGURE 4A

ATG AAA CAG ATT AAG GTT CGA GTG GAC ATG CTG CGG CAT AGA ATC	45
Met Lys Gln Ile Lys Val Arg Val Asp Met Leu Arg His Arg Ile	
1 5 10 15	
AAG GAG CAC ATG CTG AAA AAA TAT ACC CAG ACG GAA GAG AAA TTC	90
Lys Glu His Met Leu Lys Lys Tyr Thr Gln Thr Glu Glu Lys Phe	
20 25 30	
ACT GGC GCC TTT AAT ATG ATG GGA GGA TGT TTG CAG AAT GCC TTA	135
Thr Gly Ala Phe Asn Met Met Gly Gly Cys Leu Gln Asn Ala Leu	
35 40 45	
GAT ATC TTA GAT AAG GTT CAT GAG CCT TTC GAG GAG ATG AAG TGT	180
Asp Ile Leu Asp Lys Val His Glu Pro Phe Glu Glu Met Lys Cys	
50 55 60	
ATT GGG CTA ACT ATG CAG AGC ATG TAT GAG AAC TAC ATT GTA CCT	225
Ile Gly Leu Thr Met Gln Ser Met Tyr Glu Asn Tyr Ile Val Pro	
65 70 75	
GAG GAT AAG CGG GAG ATG TGG ATG GCT TGT ATT AAG GAG CTG CAT	270
Glu Asp Lys Arg Glu Met Trp Met Ala Cys Ile Lys Glu Leu His	
80 85 90	
GAT GTG AGC AAG GGC GCC GCT AAC AAG TTG GGG GGT GCA CTG CAG	315
Asp Val Ser Lys Gly Ala Ala Asn Lys Leu Gly Gly Ala Leu Gln	
95 100 105	
GCT AAG GCC CGT GCT AAA AAG GAT GAA CTT AGG AGA AAG ATG ATG	360
Ala Lys Ala Arg Ala Lys Lys Asp Glu Leu Arg Arg Lys Met Met	
110 115 120	
TAT ATG TGC TAC AGG AAT ATA GAG TTC TTT ACC AAG AAC TCA GCC	405
Tyr Met Cys Tyr Arg Asn Ile Glu Phe Phe Thr Lys Asn Ser Ala	
125 130 135	
TTC CCT AAG ACC ACC AAT GGC TGC AGT CAG GCC ATG GCG GCA TTG	450
Phe Pro Lys Thr Thr Asn Gly Cys Ser Gln Ala Met Ala Ala Leu	
140 145 150	
CAG AAC TTG CCT CAG TGC TCC CCT GAT GAG ATT ATG GCT TAT GCC	495
Gln Asn Leu Pro Gln Cys Ser Pro Asp Glu Ile Met Ala Tyr Ala	
155 160 165	
CAG AAA ATA TTT AAG ATT TTG GAT GAG GAG AGA GAC AAG GTG CTC	540
Gln Lys Ile Phe Lys Ile Leu Asp Glu Glu Arg Asp Lys Val Leu	
170 175 180	
ACG CAC ATT GAT CAC ATA TTT ATG GAT ATC CTC ACT ACA TGT GTG	585
Thr His Ile Asp His Ile Phe Met Asp Ile Leu Thr Thr Cys Val	
185 190 195	
GAA ACA ATG TGT AAT GAG TAC AAG GTC ACT AGT GAC GCT TGT ATG	630
Glu Thr Met Cys Asn Glu Tyr Lys Val Thr Ser Asp Ala Cys Met	
200 205 210	

## FIGURE 4B

ATG ACC ATG TAC GGG GGC ATC TCT CTC TTA AGT GAG TTC TGT CGG Met Thr Met Tyr Gly Gly Ile Ser Leu Leu Ser Glu Phe Cys Arg 215 220 225	675
GTG CTG TCC TGC TAT GTC TTA GAG GAG ACT AGT GTG ATG CTG GCC Val Leu Ser Cys Tyr Val Leu Glu Glu Thr Ser Val Met Leu Ala 230 235 240	720
AAG CGG CCT CTG ATA ACC AAG CCT GAG GTT ATC AGT GTA ATG AAG Lys Arg Pro Leu Ile Thr Lys Pro Glu Val Ile Ser Val Met Lys 245 250 255	765
CGC CGC ATT GAG GAG ATC TGC ATG AAG GTC TTT GCC CAG TAC ATT Arg Arg Ile Glu Glu Ile Cys Met Lys Val Phe Ala Gln Tyr Ile 260 265 270	810
CTG GGG GCC GAT CCT CTG AGA GTC TGC TCT CCT AGT GTG GAT GAC Leu Gly Ala Asp Pro Leu Arg Val Cys Ser Pro Ser Val Asp Asp 275 280 285	855
CTA CGG GCC ATC GCC GAG GAG TCA GAT GAG GAA GAG GCT ATT GTA Leu Arg Ala Ile Ala Glu Glu Ser Asp Glu Glu Glu Ala Ile Val 290 295 300	900
GCC TAC ACT TTG GCC ACC CGT GGT GCC AGC TCC TCT GAT TCT CTG Ala Tyr Thr Leu Ala Thr Arg Gly Ala Ser Ser Ser Asp Ser Leu 305 310 315	945
GTG TCA CCC CCA GAG TCC CCT GTA CCC GCG ACT ATC CCT CTG TCC Val Ser Pro Pro Glu Ser Pro Val Pro Ala Thr Ile Pro Leu Ser 320 325 330	990
TCA GTA ATT GTG GCT GAG AAC AGT GAT CAG GAA GAA AGT GAG CAG Ser Val Ile Val Ala Glu Asn Ser Asp Gln Glu Glu Ser Glu Gln 335 340 345	1035
AGT GAT GAG GAA GAG GAG GAG GGT GCT CAG GAG GAG CGG GAG GAC Ser Asp Glu Glu Glu Glu Gly Ala Gln Glu Glu Arg Glu Asp 350 355 360	1080
ACT GTG TCT GTC AAG TCT GAG CCA GTG TCT GAG ATA GAG GAA GTT Thr Val Ser Val Lys Ser Glu Pro Val Ser Glu Ile Glu Glu Val 365 370 375	1125
GCC CCA GAG GAA GAG GAG GAT GGT GCT GAG GAA CCC ACC GCC TCT Ala Pro Glu Glu Glu Asp Gly Ala Glu Glu Pro Thr Ala Ser 380 385 390	1170
GGA GGC AAG AGC ACC CAC CCT ATG GTG ACT AGA AGC AAG GCT GAC Gly Gly Lys Ser Thr His Pro Met Val Thr Arg Ser Lys Ala Asp 395 400 405	1215
CAG TAA Gln	1221

FIGURE 5

## mRNA linkers

Met Ala Ser Val Leu Gly Pro Ile Ser Gly His Val Ieu Lys Ala Val Phe Ser Arg Gly Asp Thr Pro Val Leu Pro His Glu Thr Arg  
 CCC ATG GCA TCC CTA CTC CCT CCC ATT TCC GGG CAC CTC CTC AAA CCC CCT TTT ACT CCC CCC AAC ACC CCC CTC CTC CCC CAC CAG ACC CCA  
 ATA  
 Ile 93

Leu Leu Cln Thr Gly Ile His Val Arg Val Ser Cln Pro Ser Leu Ile Leu Val Ser Cln Tyr Thr Pro Asp Ser Thr Pro Cys His Arg Gly  
 CTC CTC CAG ACC GGT ATG CAC CTC CCT ACC CCC CCT TCC ATG CTC CTC CTC CAG TAC ACC CCC CAC TCC ACC CCA TCC CAC CCC CCG  
 A ? A 186

Asp Asn Cln Ieu Cln Val Cln His Thr Tyr Phe Thr Gly Ser Cln Val Glu Ala Ser Val Ser Val His Asn Pro Thr Gly Arg Ser Ile  
 CAC AAC CAC CCT CAG CTC CAG CAC ACC TAC ATT ACC CCC ACC CAC CTC AAC CTC CCT CCT AAC 5' splice-acceptor 279

Cys Pro Ser Cln Glu Pro Met Ser Ile Tyr Val Tyr Ala Leu Pro Leu Iys Met Leu Asn Ile Pro Ser Ile Asn Val His Tyr Pro Ser  
 TCC CCC ACC CAA CAA CCC ATG TCC ATC TAT CTC CCT CCT CCT CTC AAC ATG CTC AAC ATC CCC ACC ACC AAC GTC AAC CAC TAC CCC TCC  
 C 372  
 5' splice-donor A

Ala Ala Glu Arg Lys His Arg His Leu Pro Val Ala Asp Ala Val Ile His Ala Ser Gly Lys Cln Met Trp Cln Ala Arg Ieu Thr Val Ser  
 CCC CCC CAG CCC AAA CAC CCA CAC CCT  
 465

Gly Ieu Ala Isp Thr Arg Cln Glu Asn Cln Tyr Cln Pro Asp Val Tyr Tyr Thr Ser Ala Ser Val Phe Pro Thr Lys Asp Val Ala Leu  
 CCA CTC CCT  
 550

Arg His Val Val Cys Ala His Glu Ieu Val Cys Ser Met Cln Asn Thr Arg Ala Thr Ser Cln Val Ile Gly Asp Cln Tyr Val Lys Val  
 CCC CAC CTC CCT  
 651

Tyr Leu Glu Ser Phe Cys Cln Asp Val Pro Ser Cln Iys Leu Phe Met His Val Thr Leu Cln Ser Asp Val Cln Glu Asp Leu Thr Phe  
 TAC CCT GAG CCT  
 746

Asp Asn Pro Cln Pro Phe Met Arg Pro His Glu Arg Asn Cln Phe Thr Val Leu Cys Pro Iys Asn Met Ile Ile Lys Pro Gly Iys Ile Ser  
 CCC AAC CCA CCC CCT  
 837

His Ile Met Leu Asp Val Ala Phe Thr Ser His Glu His Phe Gly Ieu Leu Cys Pro Iys Ser Ile Pro Gly Ieu Ser Ile Ser Cln Asn Leu  
 CAC ATG CTC CCT  
 C 930

Leu Phe Asn Cln Cln Ile Phe Leu Cln Val Cln Ala Ile Arg Cln Thr Val Cln Arg Cln Tyr Asp Pro Val Ala Ala Leu Phe Phe  
 TCC ATG AAC CCT  
 A C 1023

Phe Asp Ile Asp Leu Leu Glu Arg Gly Pro Cln Tyr Ser Cln His Pro Thr Phe Thr Ser Cln Tyr Ile Cln Gly Iys Leu Cln Tyr  
 TCC GAT ATC CAC CCT  
 C 1116

Arg His Thr Trp Asp Arg His Asp Cln Cln Asp Arg Asp Val Isp Thr Ser Cln Tyr Ile Cln Gly Iys Leu Cln Tyr  
 CCA CAC ACC TCC GAC CCC CAC CAC CCT  
 A 1209

Thr Cln Arg Lys Thr Pro Arg Val Thr Cln Cln Cln Ala Met Ala Cln Asn Ser Thr Cln Asp Cln Asn Cln Cln Cln Cln Cln Cln  
 ACC GAC CCC AAC ACC CCC CCT  
 C 1302

The Ala Cys Thr Ala Cln Val Met Thr Arg Cln Arg Leu Lys Ala Glu Ser Thr Val Ala Pro Cln Cln Asp Thr Asp Cln Asp Ser Asp Asn  
 ACC CCC TCC ACC CCC CCT  
 I Ser 1395

Cln Ile His Asp Ala Val Phe Thr Trp Pro Pro Trp Cln Ala Cln Ile Leu Ala Arg Asn Leu Val Pro Met Val Ala Thr Val Cln Gly  
 CAA ATG CAC AAC CCT  
 1488

Cln Asn Leu Lys Tyr Cln Glu Phe Phe Trp Asp Ala Asn Asp Ile Tyr Arg Ile Phe Ala Glu Leu Glu Gly Val Trp Cln Pro Ala Ala Cln  
 CAG AAC CCT  
 A 1501

Pro Lys Arg Arg Arg His Asp Ala Leu Pro Cln Pro Cys Ile Ala Ser Thr Pro Iys Iys His Arg Cln STOP  
 CCC AAA CCT  
 A G 1679

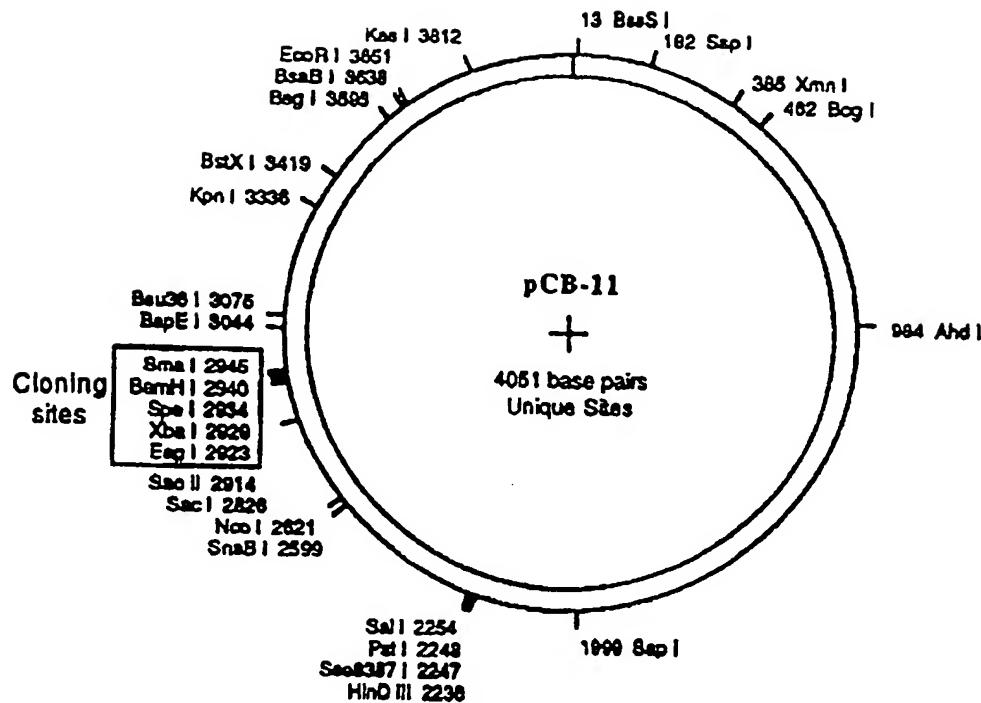
ACCAGAGTC TATANAAACC CAGCTTCACT CACACACCCG ACTTTTCCCCC CCACACCTTG TCCCTCCCTGC TATATTTCCC ACACCTTCCG CACCCCTTCG CCACTTCCA  
 A A 1789

CGAACACCCCC TTCACTTTC CGCCATCCCTT CACCCCCCCC CTCATCCCCC CCTCCCG ATG TCT CAG CCA TCC TCC TCC CCC CCT GAS CCA CCC TCG TCC CAA CCT  
 T C A 1891

Ala Ala Ile Ser Cln Ala Cln Ala Ile Asn Ser Gly Ser  
 CCC CCC AAC AAC CCT  
 1932

FIGURE 6A

FIGURE 6B



2255-2920: hCMV IE1 enhancer/promoter  
 2923-2951: Multiple cloning sites  
 2952-3650: BGH terminator  
 3651-4051 and 1-2254: pUC19

Figure 7A

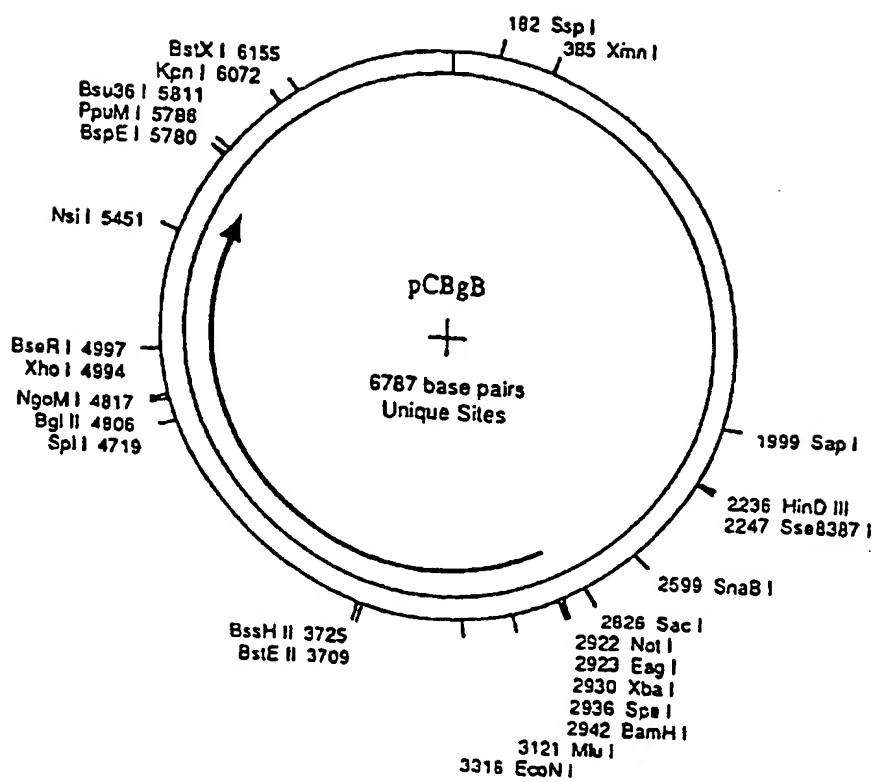


Fig. 7B

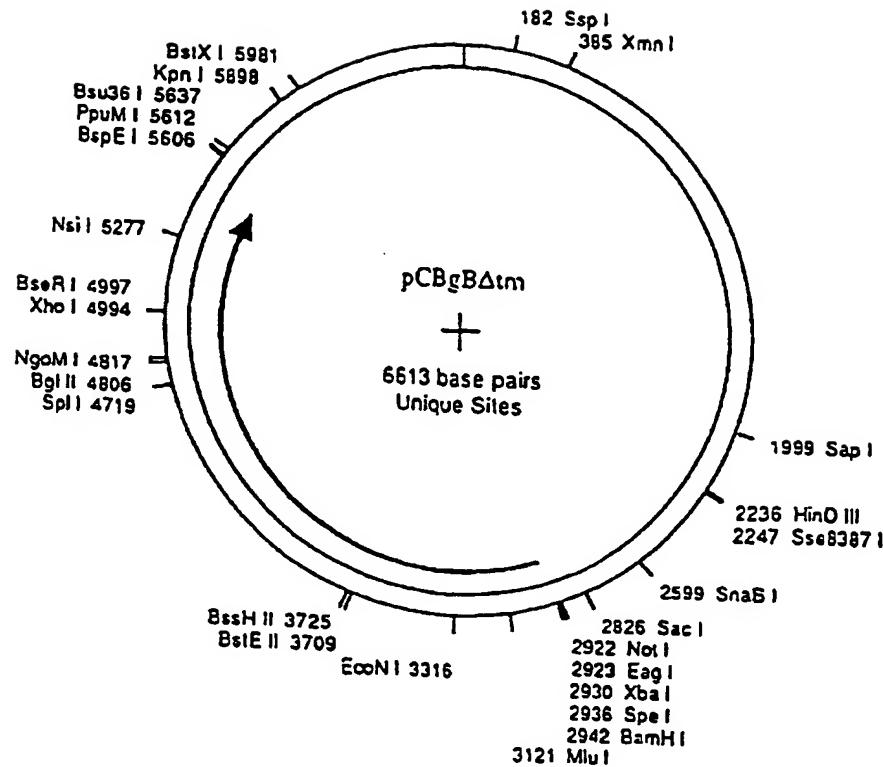
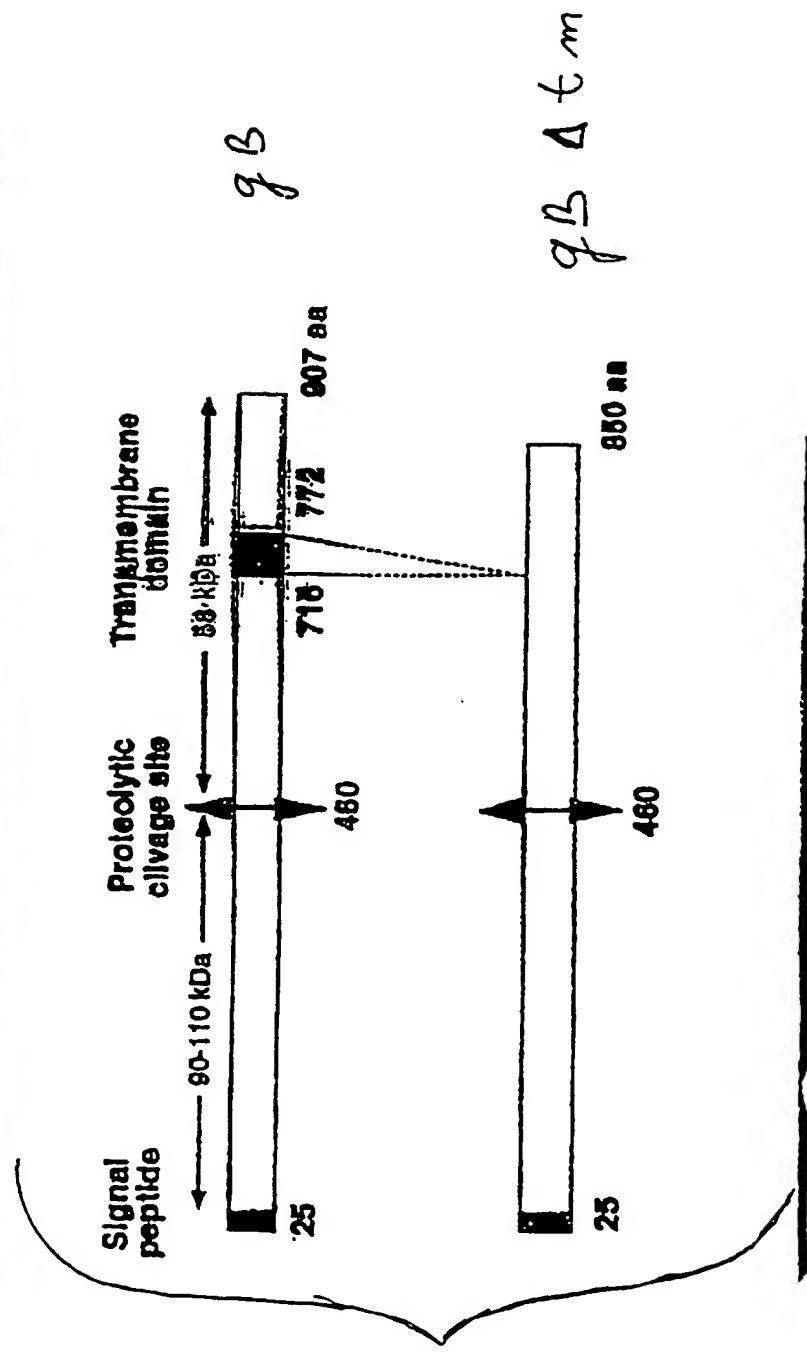
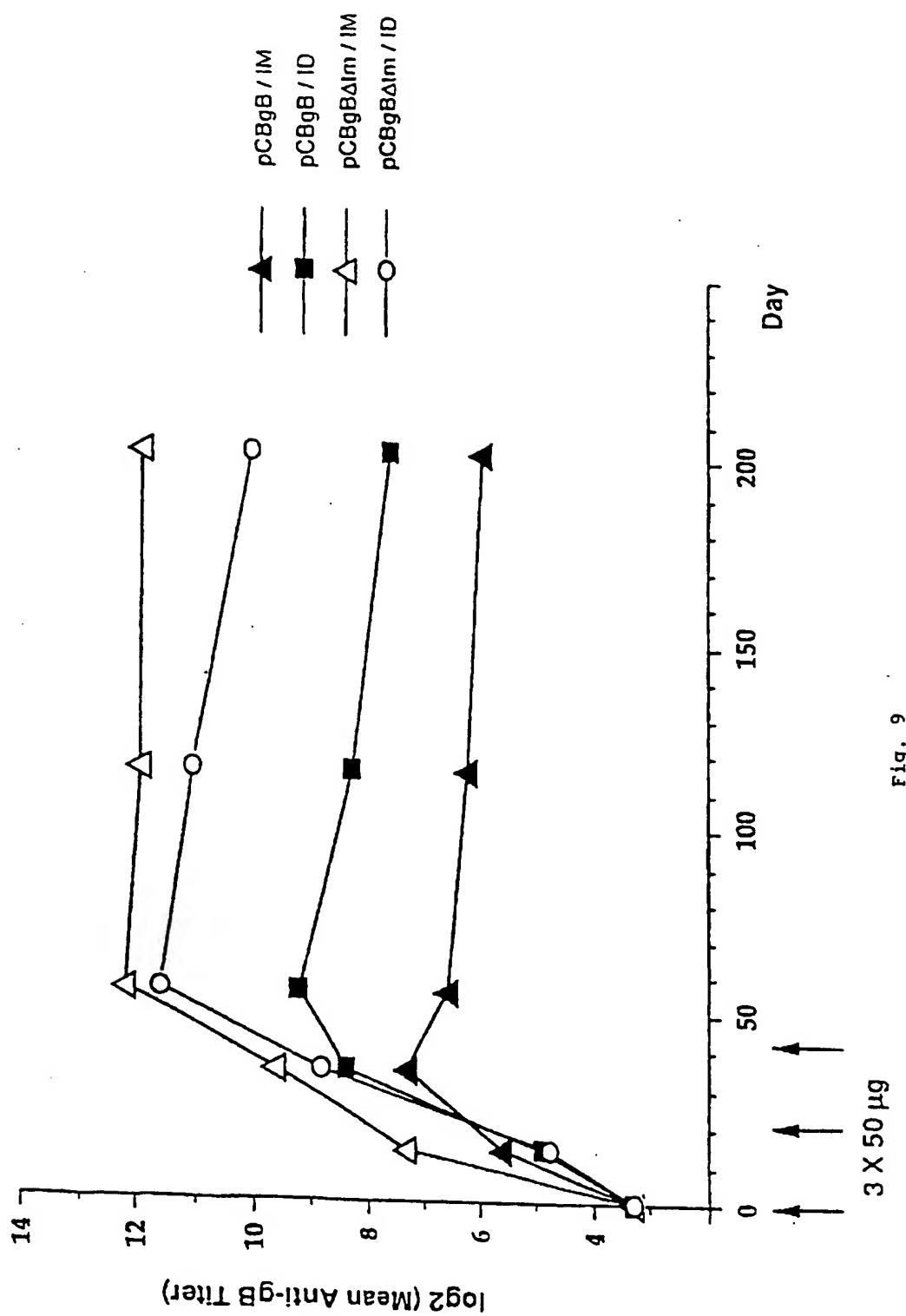


Fig. 7C

**Schematic representation of HCMV gB**





## INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 97/06866

A. CLASSIFICATION OF SUBJECT MATTER  
 IPC 6 C12N15/38 C07K14/045 A61K39/245 C12N15/86 //A61K31/70

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)  
 IPC 6 C07K

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	GÖNCZÖL, E. ET AL.: "Preclinical evaluation of an ALVAC (canarypox)-human cytomegalovirus glycoprotein B vaccine candidate", VACCINE., vol. 13, 1995, GUILDFORD GB, pages 1080-1085, XP004057496 see the whole document	1,3,12, 16,17, 20-23, 26,27
Y	---	22,23, 26-28
	-/-	

Further documents are listed in the continuation of box C.

Patent family members are listed in annex.

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1

Date of the actual completion of the international search

18 September 1997

Date of mailing of the international search report

01.10.97

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Authorized officer

Andres, S

## INTERNATIONAL SEARCH REPORT

International Application No  
PCT/US 97/06866

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	BERENCSI, K. ET AL.: "The N-terminal 303 amino acids of the human cytomegalovirus envelope glycoprotein B (UL55) and the exon 4 region of the major immediate early protein 1 (UL123) induce a cytotoxic T-cell response" VACCINE., vol. 14, April 1996, GUILDFORD GB, pages 369-374, XP004057290 cited in the application see the whole document ---	22,23, 26-28
X	EP 0 609 580 A (CHIRON CORP) 10 August 1994 see page 8, column 1 - column 45 see examples ---	1-3,12, 16,17, 21-23, 26,27
0,X	GONCZOL, E. ET AL.: "Preclinical evaluation of an ALVAC (canarypox)-human cytomegalovirus glycoprotein B vaccine candidate; immune response elicited in a prime/boost protocol with the glycoprotein B subunit." SCANDINAVIAN JOURNAL OF INFECTIOUS DISEASES, SUPPLEMENT 99, 1995, (110-112), XP002041029 see the whole document ---	1,3,12, 16,17, 21-23, 26,27
A	DHAWAN, J. ET AL.: "Tetracycline-regulated gene expression following direct gene transfer into mouse skeletal muscle" SOMATIC CELL AND MOLECULAR GENETICS, vol. 21, 1995, pages 233-240, XP002041030 cited in the application ---	
A	BERENCSI, K. ET AL.: "MURINE CYTOTOXIC T CELL RESPONSE SPECIFIC FOR HUMAN CYTOMEGALOVIRUS GLYCOPROTEIN B (GB) INDUCED BY ADENOVIRUS AND VACCINIA VIRUS RECOMBINANTS EXPRESSING GB" JOURNAL OF GENERAL VIROLOGY, vol. 74, 1993, pages 2507-2512, XP002026070 cited in the application ---	
1		-/-

## INTERNATIONAL SEARCH REPORT

International Application No  
PCT/US 97/06866

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	PANDE, H. ET AL.: "HUMAN CYTOMEGALOVIRUS STRAIN TOWNE PP65 GENE: NUCLEOTIDE SEQUENCE AND EXPRESSION IN ESCHERICHIA COLI" VIROLOGY, vol. 182, no. 1, May 1991, pages 220-228, XP000561310 ---	
A	GOSSEN M ET AL: "TIGHT CONTROL OF GENE EXPRESSION IN MAMMALIAN CELLS BY TETRACYCLINE-RESPONSIVE PROMOTERS" PROCEEDINGS OF THE NATIONAL ACADEMY OF SCIENCES OF USA, vol. 89, no. 12, 15 June 1992, pages 5547-5551, XP000564458 ---	
A	EP 0 252 531 A (BEHRINGWERKE AG) 13 January 1988 -----	

# INTERNATIONAL SEARCH REPORT

International application No.

PCT/US 97/06866

## Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1.  Claims Nos.: because they relate to subject matter not required to be searched by this Authority, namely:

Remark: Although claim(s) 17-28 is(are) directed to a method of treatment of the human/animal body, the search has been carried out and based on the alleged effects of the compound/composition.

2.  Claims Nos.: because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:

3.  Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1.  As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2.  As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3.  As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4.  No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

### Remark on Protest

- The additional search fees were accompanied by the applicant's protest.
- No protest accompanied the payment of additional search fees.

## INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 97/06866

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
EP 0609580 A	10-08-94	AU 641121 B AU 3041389 A DK 179290 A EP 0436537 A JP 2607712 B JP 3503478 T WO 8907143 A US 5547834 A	16-09-93 25-08-89 28-09-90 17-07-91 07-05-97 08-08-91 10-08-89 20-08-96
EP 0252531 A	13-01-88	AU 605155 B AU 7412887 A DE 3644924 A DE 3782867 A ES 2044881 T JP 62296893 A	10-01-91 17-12-87 14-04-88 14-01-93 16-01-94 24-12-87

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